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Attorney Docket No.: SRI1P013X1

First Named Inventor:

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09/03/00  
09/18/03  
U.S. PTO

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**  
(Continuation, Divisional or Continuation-in-part application)

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

**Sir:** This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:

James F. Arnold, D. Scott Seaton, Carla P. Woodworth, Michael W. Frandsen and Nathan W. Williams

**For:** METHOD AND APPARATUS FOR UPDATING INFORMATION IN A LOW-BANDWIDTH CLIENT/SERVER OBJECT-ORIENTED SYSTEM

This application is a ☐ Continuation ☐ Divisional ☒ Continuation-in-part

of prior Application No.: 09/087,799, from which priority under 35 U.S.C. §120 is claimed.

**Application Elements:**

☒ 62 Pages of Specification, Claims and Abstract

☒ 25 Sheets of **formal** Drawings

☐ Declaration

☐ Newly executed

☐ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).  
The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

**PLEASE DO NOT CHARGE A FILING FEE AT THIS TIME**

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ Power of Attorney
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)
- ☒ Return Receipt Postcard
- ☐ Small Entity Statement(s) ☐ Statement filed in prior application. Status still proper and desired.
- ☐ Other:

Claim For Foreign Priority

- ☐ Priority of \_\_\_\_\_ Application No. \_\_\_\_\_ filed on \_\_\_\_\_ is claimed under 35 U.S.C. § 119.
- ☐ The certified copy has been filed in prior application U.S. Application No. \_\_\_\_\_
- ☐ The certified copy will follow.

Extension of Time for Prior Pending Application

- ☐ A Petition for Extension of Time is being concurrently filed in the prior pending application. A copy of the Petition for Extension of Time is attached.

Amendments

- ☐ Amend the specification by inserting before the first line the sentence: "This is a ☐ Continuation ☐ Continuation-in-part ☐ Divisional application of copending prior ☐ Application No. \_\_\_\_\_ filed on \_\_\_\_\_, ☐ International Application \_\_\_\_\_ filed on \_\_\_\_\_ which designated the United States, the disclosure of which is incorporated herein by reference."
- ☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0388 (Order No. SR11P013X1).

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Date: March 3, 2000

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Method and Apparatus for Updating Information in a Low-Bandwidth Client/Server  
Object-Oriented System

Inventors:

- 5 James F. Arnold, D. Scott Seaton, Carla P. Woodworth, Michael W. Frandsen, and  
Nathan W. Williams

10 The present application is a continuation-in-part of co-pending U.S. Patent  
Application No. 09/087,799, filed June 1, 1998, and claims priority of provisional  
U.S. Patent Application No. 60/169,972, filed December 8, 1999, which is  
incorporated herein by reference in its entirety.

BACKGROUND OF THE INVENTION

15 1. Field of Invention

The present invention relates generally to client/server object-oriented  
computing systems. More particularly, the present invention relates to maintaining  
reliable data distribution in low-bandwidth client/server object-oriented computing  
systems.

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2. Description of the Related Art

The use of object-oriented computing systems, *e.g.*, distributed object-oriented  
computing systems, is increasing as the use of networked computing systems  
increases. Networked computing systems such as object-oriented computing systems  
25 generally allow resources to be shared among different computers associated with the  
network. Object-oriented computing systems often use client/server models. That is,  
many object-oriented computing systems are client/server computing systems. A  
client, which is in communication with a server, is typically arranged to remotely  
accesses resources associated with a server.

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With reference to Figure 1, the interactions between a server and clients which  
are linked via a network will be described. In general, within a client/server object-  
oriented computing system 100, a server 102 is in communication with clients 106.  
Although communications between server 102 and clients 106 may generally be

initiated using an object request broker (ORB) as will be appreciated by those skilled in the art, communications often take place directly between server 102 and clients 106. By way of example, when client 106a requires information that is available on server 102, once a communications link 110a is established between client 106a and server 102, client 106a may request information directly from server 102. Similarly, when server 102 responds to a request from client 106a, server 102 may respond directly to client 106a. As such, information on server 102 may essentially always be available to clients via communications links 110.

In client/server object-oriented computing system 100, communications links 110 are typically high bandwidth links, and clients 106 are typically non-volatile clients. That is, once established, communications links 110 remain established until they are deactivated by server 102 or clients 106. Hence, clients 106 remain in communication with server 102, *e.g.*, “subscribed” to server 102, until such time as clients 106 “unsubscribe” themselves from server 102. Since a client, as for example client 106a, generally remains linked to server 102 until client 106a terminates link 110a, client 106a may request and retrieve information from server 102a, or a database (not shown) associated with server 102a, at substantially any time.

As technology that facilitates wireless communications, *e.g.*, communications over a radio frequency (RF) link, improves, the use of RF links in client/server object-oriented computing systems is increasing. RF links in client/server object-oriented computing systems are often used when a client, and even a server, are located at temporary sites and, further, may be constantly moving. For example, RF links may be used to link clients that are “out in the field,” or otherwise subject to constant relocation, to a server. Systems with clients that are out in the field generally include, but are not limited to, clients that are associated with military operations and clients that are associated with emergency activities.

As clients move, the clients may move in and out of the RF communications range of the server. Since the clients may move out of the RF communications range of the server, the RF communications links are intermittent links in that they may not always be available. Further, these links are also low-bandwidth links because the

rate if data transfer is often approximately one two-hundredth of the transfer rate of cabled networks. Hence, the clients are effectively volatile clients, as they may not be in communication with the server when they attempt to request information from the server.

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The ability for a client in the field to access up-to-date information may be crucial. By way of example, when a client is associated with resources which are needed in emergency activities, the client may need to know where its resources are most needed at any given time. However, if the RF link between the client and the server is not available, *e.g.*, if the client is out of range with respect to the server, the client may not obtain updated information from the client. The inability to obtain updated, timely information may cause the resources associated with the client to be inefficiently, or even incorrectly, allocated.

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Further, for low-bandwidth links such as RF links, when a network dropout occurs, *i.e.*, when communications between a client and a server in a network are at least partially interrupted, an assumption is typically made that the dropout occurs because of network congestion. That is, when a network dropout occurs, corrective measures that are taken are generally geared towards attempting to initiate a new connection between the client and the server. When a network dropout is not caused by network congestion and is, instead, caused by packet losses or losses of portions of data, assuming that the dropout is caused by network congestion may cause an overall network to suffer a significant performance penalty. Such a performance penalty may result when an attempt to initiate a connection which is still in tact causes other connections from being made, thereby preventing data from being transferred across the other connections.

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Therefore, what is needed is a method and an apparatus for providing a client with updated, timely information when the client is a volatile client that is linked to a server through an intermittent link such as an RF link. In other words, what is desired is an efficient method and apparatus for allowing a client in a low-bandwidth client/server computing system to have access to updated, timely information associated with a server.

## SUMMARY OF THE INVENTION

The present invention relates to efficiently maintaining updated information on client/server object-oriented computing systems. In accordance with one aspect of the present invention, a method for transmitting a packet of data from a first computing system to a second computing system in a client/server object-based computing system includes identifying the packet of data using the first computing system and attempting to send the packet of data from the first computing system to the second computing system. Once the attempt is made to send the packet of data, it is determined whether the packet of data is received by the second computing system. An acknowledgment is sent from the second computing system to the first computing system when it is determined that the packet of data is received by the second computing system. The acknowledgement indicates that the packet of data is received by the second computing system.

In one embodiment, the method includes re-attempting to send the packet of data from the first computing system to the second computing system when the packet of data is not successfully received by the second computing system. In such an embodiment, no re-connection between the first computing system and the second computing system is attempted. In another embodiment, the method further includes placing the packet of data in a queue using the first computing system and removing the packet of data from the queue using the second computing system. The queue is arranged to prioritize the packet of data with respect to any packets of data associated with the queue. The queue is further arranged to enable a client application to function usefully in the absence of real-time communications with a server.

According to another aspect of the present invention, a method for transmitting a packet of data from a first computing system to a second computing system of a client/server object-based computing system includes attempting to send the packet of data from the first computing system to the second computing system, determining when the packet of data is received by the second computing system, and identifying the packet of data as being successfully sent when it is determined that the

packet of data is received by the second computing system. When it is determined that the packet of data is not received, an assumption is made that packet losses have occurred, and attempts are made to resend the packet of data for up to a predetermined number of times. In one embodiment, attempts are made until either it is determined that the packet of data successfully sent or the predetermined number of attempts has been reached.

According to still another aspect of the present invention, a client/server object-based computing system includes at least one server and at least one client that is at least periodically in communication with the server across a low-bandwidth communications channel. The client/server object-based computing system also includes a mechanism arranged to reduce statistical information associated with the client/server object-based computing system. The mechanism includes a measuring system for measuring time elapsed for a packet of data to be sent between the at least one server and the at least one client. A data transmission system of the client/server object-based computing system transmits data between client and the server, and also repeatedly attempts to transmit the data for up to a number of times determined by the mechanism. Finally, the client/server object-based computing system includes a reconnection system that attempts to reinstate the low-bandwidth communications channel after the transmission system repeatedly attempts to transmit the data for up to the number of times determined by the mechanism.

In one embodiment, the low-bandwidth communications channel is an RF link. In another embodiment, the data transmission system optimizes the time elapsed between repeated attempts to transmit the data using the statistical information reduced by the mechanism.

According to yet another aspect of the present invention, a method for substantially optimizing the transmission of a first packet between a first computing system to a second computing system includes gathering statistical information associated with a client/server object-based computing system at least by measuring time used to send at least a second packet of data between the first computing system and the second computing system. The method also includes attempting to send the



first packet from the first computing system to the second computing system, and determining if the first packet is received by the second computing system. When it is determined that the first packet has not been received, a desired amount of time to elapse before attempting to re-send the first packet is determined. The amount of time is determined using the measured time used to send the at least second packet. Finally, the method includes attempting to re-send the first packet after the amount of time elapses. In one embodiment, the method also includes determining a number of times attempts are made to re-send the first packet, wherein the number of times is determined using the statistical information.

By attempting to resend data instead of substantially automatically attempting to reconnect a first computing system with a second computing system, overall system performance is typically not degraded unnecessarily. For instance, when data is not successfully sent and received due to packet losses, attempting to generate a reconnection may preclude other connections from being made within the overall system, when such a reconnection may not be the cause of the failure of packet transmission.

These and other advantages of the present invention will become apparent upon reading the following detailed descriptions and studying the various figures of the drawings.

## BRIEF DESCRIPTION OF THE DRAWINGS

The present invention may best be understood by reference to the following description taken in conjunction with the accompanying drawings in which:

Figure 1 is a diagrammatic representation of the interactions between clients and a server in a conventional client/server computing system.

Figure 2a is a diagrammatic representation of a low-bandwidth client/server computing system in accordance with an embodiment of the present invention.

Figure 2b is a diagrammatic representation of a networked client/server computing system which allows one server to be replicated on another server in accordance with an embodiment of the present invention.

Figure 3 is a diagrammatic representation of a server that is a part of a client/server computing environment in accordance with an embodiment of the present invention.

Figure 4 is a diagrammatic representation of a client that is a part of a client/server computing environment in accordance with an embodiment of the present invention.

Figure 5 is a process flow diagram which illustrates the steps associated with the start up of a server in accordance with an embodiment of the present invention.

Figure 6 is a process flow diagram which illustrates the overall steps associated with processing a request from a client to a server in accordance with an embodiment of the present invention.

Figure 7 is a process flow diagram which illustrates the steps associated with handling a define object request, *i.e.*, step 615 of Figure 6, in accordance with an embodiment of the present invention.

Figure 8 is a process flow diagram which illustrates the steps associated with handling a request to register a client, *i.e.*, step 603 of Figure 6, in accordance with an embodiment of the present invention.

Figure 9 is a process flow diagram which illustrates the steps associated with handling a request to unregister a client, *i.e.*, step 607 of Figure 6, in accordance with an embodiment of the present invention.

Figure 10 is a process flow diagram which illustrates the steps associated with handling a request to reregister a client with a server, *i.e.*, step 611 of Figure 6, in accordance with an embodiment of the present invention.

Figure 11 is a process flow diagram which illustrates the steps associated with handling a request to create a filter topic, *i.e.*, step 619 of Figure 6, in accordance with an embodiment of the present invention.

Figure 12 is a process flow diagram which illustrates the steps associated with starting a client thread in the server, *i.e.*, step 813 of Figure 8, in accordance with an embodiment of the present invention.

Figure 13 is a process flow diagram which illustrates the steps associated with starting up a client in accordance with an embodiment of the present invention.

Figure 14 is a process flow diagram which illustrates the steps associated with a client listening for updates from a server, *i.e.*, step 1318 of Figure 13, in accordance with an embodiment of the present invention.

Figure 15 is a process flow diagram which illustrates the steps associated with handling an object update message, *i.e.*, step 1403 of Figure 14, in accordance with an embodiment of the present invention.

Figure 16 is a process flow diagram which illustrates the steps associated with handling a meta-object update message, *i.e.*, step 1405 of Figure 14, in accordance with an embodiment of the present invention.

Figure 17 is a process flow diagram which illustrates the steps associated with handling a delete object method, *i.e.*, step 1407 of Figure 14, in accordance with an embodiment of the present invention.

Figure 18 is a process flow diagram which illustrates the steps associated with the start up of a feed handler, *i.e.*, step 1317 of Figure 13, in accordance with an embodiment of the present invention.

Figure 19 is a process flow diagram which illustrates the steps associated with starting a server communication thread on a client, *i.e.*, step 1804 of Figure 18, in accordance with an embodiment of the present invention.

Figure 20 is a process flow diagram which illustrates the steps associated with creating an instance of a handler class, *i.e.*, step 1516 of Figure 15, in accordance with an embodiment of the present invention.

Figure 21 is a process flow diagram which illustrates the steps associated with adding an object to an object list, *i.e.*, step 1519 of Figure 15, in accordance with an embodiment of the present invention.

Figure 22 is a process flow diagram which illustrates the steps associated with notifying the server of a message, *i.e.*, step 1913 of Figure 19, in accordance with an embodiment of the present invention.

Figure 23 is a diagrammatic representation of a general-purpose computer system suitable for implementing the present invention.

Figure 24 is a diagrammatic representation of communications between clients and servers of a low-bandwidth computing system in accordance with an embodiment of the present invention.

5 Figure 25 is a process flow diagram which illustrates the steps associated with sending data between a client and a server in the presence of a potential communications anomaly in accordance with an embodiment of the present invention.

Figure 26a is a diagrammatic representation of the updating of a smart message queue in accordance with an embodiment of the present invention.

10 Figure 26b is a diagrammatic representation of the updating of a smart message queue in accordance with an other embodiment of the present invention.

## DETAILED DESCRIPTION OF THE EMBODIMENTS

Intermittent links, such as radio frequency (RF) links, are often used in  
15 client/server object-oriented computing systems when the location of a client, with respect to a server, may vary. Such clients are generally considered to be “out in the field” with respect to a server. Systems with clients that are out in the field may include, but are not limited to, clients that are associated with mobile military operations, clients that are associated with emergency activities, and clients that are  
20 associated with mobile sales forces.

When a client and a server are communicably linked using an intermittent, low-bandwidth link, when clients move, the clients may move in and out of the range of the server. For example, when the client and the server are arranged in  
25 communication over a RF link, the client may move to a position or a location which is not in the RF range of the server. When the client is out of the RF range of the server, the client typically may not communicate with the server. As a result, the client may not access information, particularly updated information, from the server. The inability to obtain updated, timely information may cause resources associated  
30 with the client to be inefficiently used and, when the client is associated with mobile operations, adversely affect the operations.

By allowing clients to maintain local copies of objects which are located on an associated server, and updating the objects as necessary when the client and the server are in communication, the client maintains what are at least fairly recent copies of the objects. Hence, if the client moves out of the communications range of the server, the client has access to relatively up-to-date information. When the client moves back into the communications range of the server, then the server may provide the client with updated, or current, information. That is, the server may effectively update the local copies of the objects that are present on the client, as for example in a local cache.

Referring to Figure 2a, a low-bandwidth client/server computing system will be described in accordance with an embodiment of the present invention. A client/server computing system 200 includes a server 202, which may be a database server, that is arranged to be in communication with clients 206 over links 210. Clients 206 may, in one embodiment, be "thin" clients, or clients which generally do not include hard disks, and have relatively slow processors. It should be appreciated that although links 210 may generally be any links which are suitable for allowing server 202 to communicate with clients 206, in the described embodiment, links 210 are low-bandwidth, intermittent links. Specifically, in the described embodiment, links 210 are RF links which allow communication between server 202 and each client 206. Some links 210 may enable communications between server 202 and each client 206 to be substantially synchronized. In general, links 210 may not always be active. As previously mentioned, when a client 206 moves out of range of server 202, the associated link 210 may be lost.

Server 202 typically includes multiple objects 214. Objects 214, as will be appreciated by those skilled in the art, are generally programming units which include data and functionality, and are instances of classes. In one embodiment, server 202 may also include meta-objects, which are objects that have no physical representation, and are effectively classes with methods and attributes that serves as a factory to create new objects. Meta-objects may not be instantiated, *i.e.*, meta-objects generally do not provide a representation of a physical object. Instead, meta-objects may serve as templates from which objects which represent physical objects are constructed.

Clients 206 may register interest in certain objects 214 on server 202. For example, clients 206 may be interested in updated information pertaining to particular objects 214. Relevant objects 214 associated with server 202 may be replicated, *i.e.*, databases associated with server 202 may be replicated, on each client 202 to provide each client 206 with local copies 218 of objects 214. Local copies 218 allow clients 206 to have access to relatively current object information in the event that clients 206 and server 202 lose contact with one another. In other words, when a link such as link 210a is lost, local copies 218a, which are active entities, may continue to run on client 206a.

In general, as communications between server 202 and clients 206 is synchronous, server 202 is effectively aware of all objects that are associated with clients 206. Server 202 may then be able to save state information associated with each client 206. Therefore, server 202 may restore the current state of each client 206 as appropriate, *e.g.*, when lost links 210 are re-established. It should be appreciated, however, that server 202 is generally not aware of any semantics with regards to objects 214. Rather, server 202 is effectively only aware that objects 214 have been updated, and, further, that the corresponding updates should be forwarded to clients 206 as appropriate.

In addition to replicating objects 214 that are associated with server 202 on clients 206, objects 214 may generally be replicated on other servers. With reference to Figure 2b, the replication of one server in another server will be described in accordance with an embodiment of the present invention. Generally, within an overall client/server computing system 250, a “copy” of a first server 252a may be made on a second server 252b. That is, first server 252a may be replicated on second server 252b. In one embodiment, first server 252a communicates with clients 256a, 256b over low bandwidth links 260a, 260b, respectively. However, server 252a communicates with second server 252b over a high bandwidth network 262. Hence, communications between first server 252a and second server 252b are essentially always possible.

By allowing the contents of server 252a to be replicated on second server 252b, the contents of server 252a will effectively be available in the event of a failure of server 252a. In addition, since server 252 may each generally only support a limited number of clients 256, “duplicate” servers allow a larger number of clients 256 to be served. As a result, the scalability of client/server computing system 250 may be improved. In the described embodiment, replicating first server 252a on second server 252b may also allow a client, as for example client 256c, which is out of the communications range of first server 252, to communicate with second server 252b. Hence, client 256c may still receive current information, even without being in substantially direct communication with server 252a.

In general, the overall configuration of a server and a client may vary widely. Specifically, the contents of a server and the contents of a client may vary depending upon the requirements of the overall client/server computing system. With reference to Figures 3 and 4, one suitable embodiment of a server and one suitable embodiment of a client, respectively, will be described.

Figure 3 is a diagrammatic representation of a server that is a part of an overall client/server computing environment in accordance with an embodiment of the present invention. A server 302, *e.g.*, a database server, is arranged to communicate with clients 306. Server 302, in one embodiment, is part of an InCON® server, which is a part of an overall InCON® Command and Control System, developed by SRI International of Menlo Park, California.

Connections 310 between server 302 and clients 306 are established such that server 302 may communicate with clients 306. Specifically, connections 310 are formed between client threads 314 on server 302 and clients 306. Hence, each client thread 314 has an associated client 306. The number of clients 306 and, hence, the number of client threads 314, associated with server 302 may generally vary widely in accordance with the requirements of the overall client/server system.

Clients 306, which will be discussed below with reference to Figure 4, are in communication with server 302 as well as a class server 318. Class server 318 is a

streaming data server which is accessed by clients 306, via connections 322, to download relatively large amounts of data. By way of example, class server 318 may be used by clients 306 to load large software packages on clients 306 when clients 306 are started up. In some embodiments, class server 318 may be associated with a common object request broker architecture (CORBA), and enables desired methods which are not present on a particular client, *e.g.*, client 306a, to be obtained by the particular client. Class server 318 may be coupled to a storage medium, such as a disk 326, which may be used to store information associated with class server 318.

Server 302 includes an object list 330 that is effectively a list of all objects 342, which are associated with server 302, that are to be updated. In other words, object list 330 is a queue of object updates. Generally, object list 330 may also include meta-object updates. It should be appreciated that object list 330 is persistent and, hence, may be copied into a persistent database 334, or substantially any other suitable persistent storage medium including, but not limited to, a persistent data file and a persistent disk. By storing copies of information such as object list 330 in a non-volatile medium such as persistent database 334, when server 302 is taken off-line then started up again, object list 330 may be reconstructed from the associated copy stored in persistent database 334.

Each client thread 314a maintains an index 338, *e.g.*, a pointer, to object list 330. Each index 338, as for example index 338a, points to the location within object list 330 that identifies the next object 342 in object list 330 which may potentially be updated on client 306a. In other words, as shown, if object “k” 342c is of interest to client 306a, then client 306a will be updated accordingly. Once client 306a is updated, index 338a may be moved to reference the next object 342 in object list 330. Alternatively, if object “k” 342c is not of interest to client 306a, then client 306a is not updated, and index 338a is moved to reference the next object 342.

Server 302 also includes a filter tree 346, which is referenced by client threads 314. In one embodiment, indexes 350 are used by client threads 314 to index filter tree 346. Filter tree 346 generally includes a root 348 that is associated with specific topics 350. Specific topics 350 are topics which may be of interest to different clients



306. Generally, specific topics 350 which are of interest to different clients 306 are used in the determination of which objects 342 in object list 330 are of interest to clients 306. That is, filter tree 346 is arranged to serve as a filter for filtering out objects 342 that are not of interest to a given client 306.

With reference to Figure 4, a client, *e.g.*, client 306 of Figure 3, will be described in accordance with an embodiment of the present invention. Client 306 is part of a situation display 402, as for example an InCON® Situation Display developed by SRI International of Menlo Park, California. Situation display 402 is generally arranged to provide a background and organizing framework for the graphic display of selected objects. In an InCON® Situation Display, for example, geographic locations are used as an organizing principal, and objects which are displayed may represent real-world items in locations relative to an underlying map. However, it should be appreciated that situation display 402 may generally use substantially any suitable organizing principal including, but not limited to, organizational charting arranged to show authoritative relationships among objects, and schematic charting arranged to show operational relationships among objects.

Client 306 may communicate substantially directly with server 302 once client 306 has been registered with respect to server 302. That is, client 306 may send commands to server. In one embodiment, such commands may include lists of topics in which client 306 is interested. Server 302, on the other hand, may send update messages to client 306 to indicate that certain objects on client 306 should be updated such that the states of the objects on client 306 are consistent with the states of the corresponding objects on server 302.

Client 306 includes an object list 410, *i.e.*, a client object list, that contains substantially all objects 412 that are associated with client 306. In one embodiment, object list 410 may be a filtered version of object list 330 of Figure 3. Client 306 also includes handlers 414 which are used to handle the semantics of data associated with client 306, and contain configuration information that may be used by client 306. Handlers 414 are also arranged to be used by client 306 in order to run methods associated with objects 412. Handlers 414 may generally also be considered to be

classes which have a property of causing objects 412 which are instantiated from handlers 414 by client 306 to be substantially automatically shared with server 302 and, hence, other clients associated with server 302. Client 306 generally establishes links 418 between objects 412 in object list 410 and handlers 414.

Client 306 also includes a meta-object list 422 of meta-objects 426. Handlers 430 are typically arranged to run methods associated with meta-objects 426. Both handlers 414 and handlers 430 interface with a feed handler 434. Feed handler 434 may generally be considered as a queue for server 302, as feed handler 434 includes messages which are to be processed by server 302. Although the contents of the messages may vary, the contents are typically update messages arranged to instruct the server that certain objects, *e.g.*, objects 412, have been updated on client 306. Feed handler 434 is further arranged to manage data, and, hence, to receive messages from handlers 414, 430.

In addition to including client 306, situation display 402 may include a number of observers 406 which are in communication with client 306. Observers 406 are generally arranged to permit an object to register interest in an “observable” object. In the described embodiment, observers 406 may be constructs created in the Java programming language, which was developed by Sun Microsystems, Inc. of Palo Alto, California. Registering interest in an observable object allows observers 406 to be notified of substantially any change to the observed object.

Although observers 406 associated with situation display 402 may be widely varied, in one embodiment, observers 406 include a map 406a, summaries 406b, and a planning matrix 406c. Map 406a is a display, which generally includes a user interface such as a graphical user interface (GUI), that is used to display objects 412 as appropriate. By way of example, map 406a may be a road map of a particular area, and objects such as vehicles may be displayed as icons on the road map. Map 406a may be arranged such that a user who is interfacing with client 306 through map 406a may easily manipulate the “object displays,” *e.g.*, icons, to effectively update the state of objects 412. When map 406a registers an interest in particular objects, when

the states of those objects change, map 406a is notified of the changes, and typically changes its display accordingly.

Summaries 406b are generally objects which provide information concerning the state of particular objects. For example, summaries 406b may provide abstracted or summarized information that relates to the state of selected attributes among identified objects of interest.

Planning matrix 406c is arranged to provide information pertaining to objects 412. Specifically, planning matrix 406c may be used in conjunction with map 406a to provide object information. For example, if map 406a is a road map of a disaster area, planning matrix 406c may be used to allocate objects 412 which represent resources, *e.g.*, manpower and vehicles, organizationally, by associating types of resources against disaster incidents to substantially optimally manage the disaster incidents. The resources, or objects 412, may be readily allocated when planning matrix 406c is used to present information such that a user may easily identify objects 412. That is, the resources or objects 412 may be efficiently allocated and reallocated with respect to the disaster area when planning matrix 406c is used to provide readily accessible information regarding the resource types.

Before a client and a server may communicate, both the server and the client are started up. The steps associated with starting up a server will be described with reference to Figure 5, while the steps associated with starting up a client will be described with respect to Figure 13. Figure 5 is a process flow diagram which illustrates the steps associated with the start up of a server in accordance with an embodiment of the present invention. In general, a server may be started up in response to a request from a client. When a client makes a request to start up a server, the client may make the request through an object request broker (ORB) which then contacts the server, in the event that an ORB is present. It should be appreciated that the use of an ORB to make a request to start up a server represents an embodiment of the present invention, and is not a requirement of the present invention. In some embodiments of the present invention, there may be no ORB.

The process of starting up a server begins in step 501 in which the server is instantiated by the ORB, in response to a request from the client. The process used in the instantiation of the server using an ORB may vary widely, as will be appreciated by those skilled in the art. Once the server is instantiated, a determination is made in step 503 regarding whether there is a database service, *e.g.*, a persistent data file, associated with the server. In other words, it is determined whether a persistent data file exists. The persistent data file is generally arranged to store data, such as objects, that are associated with the server. Hence, the persistent data file is arranged to hold information relating to object states, client threads, and a filter tree associated with the server.

When the determination in step 503 is that a persistent data file does not exist, a persistent data file is created in step 507 using substantially any suitable method. After the persistent data file is created, a list of objects is created in step 509. It should be appreciated that the list of objects will typically be empty. Although the list of objects may take on a variety of different formats, in one embodiment, the list of objects has the format of a persistent hash table.

Once the list of objects is created, process flow proceeds to step 511 where a client thread list is created. Like the list of objects, the client thread list will initially be empty, and may also have the format of a persistent hash table. A filter tree is created in step 513 such that substantially only a root node is present in the filter tree. In the described embodiment, the filter tree is a two-dimensional hash table of filter topics indexed against clients which are registered for each topic. It should be appreciated that the filter tree may also include a table of child sub-topics associated with each topic. After the filter tree is created, then in step 515, the server sends a notification to the client, via the ORB in one embodiment, that the server is “ready,” or started up, and the process of starting up a server is completed. In the described embodiment, once the server is started up, the server awaits commands, *e.g.*, requests, which may be received from the ORB or from various clients that are associated with the server. As mentioned above, the use of an ORB is optional.

Returning to step 503, if it is determined that a persistent data file is in existence, the objects states, the client threads, and the filter tree associated with the server are reconstructed in step 505 using information contained in the persistent data file. In other words, the server is "updated" using the information in the persistent data file. Once the object states, the client threads, and the filter tree are reconstructed, process flow moves to step 515 in which the ORB is notified that the server implementation is ready.

After the server is started up, the server is then ready to process requests from clients which are in communication with the server. Initially, after a server is first started up, the server will, in one embodiment, receive requests from clients through an ORB. Specifically, requests to register a client with the server will be forwarded from the client to the server through an ORB. Once a client is registered, however, requests are generally passed directly from the client to the server, although it should be appreciated that requests may still be passed through an ORB if an ORB is present. When a request is passed through an ORB, it should be appreciated that the ORB may then invoke the correct code on the server to process the request.

Figure 6 is a process flow diagram which illustrates the overall steps associated with processing a request from a client to a server in accordance with an embodiment of the present invention. When a request is received on a running server either directly or indirectly, *e.g.*, via an ORB, from a client, the server typically determines how to handle the request. Once a request is received, a determination is made in step 601 regarding whether the request is a request to register a client with the server. Such a request is generally passed to the server through an ORB, if an ORB is present. As previously mentioned, an ORB may not necessarily be present. When a client sends a register client request, the client is attempting to open a communications link with the server. Although arguments included in the register client request may vary, the arguments often include, but are not limited to, a filter topic list of topics that are of interest to the client, and a location of the client, *e.g.*, a uniform resource locator (URL) address for the client.

If it is determined in step 601 that the request is a register client request, the register client request is handled in step 603. The steps associated with handling the register client request will be described below with reference to Figure 8. After the register client request is handled, then the processing of the request is completed, and the server awaits another command or request. Alternatively, if it is determined in step 601 that the request is not a request to register a client, then process flow moves from step 605 where a determination is made regarding whether the request is an unregister client request.

When it is determined that the request is a request to unregister a client from the server, the unregister handle client request is handled in step 607. Unregistering a client typically involves closing a connection between the client and the server. One method of unregistering a client will be discussed below with reference to Figure 9. Once the client is unregistered, *i.e.*, after the unregister client request is handled, the processing of the request is completed, and the server awaits another request.

Alternatively, if the determination in step 605 is that the request received by the server is not a request to unregister a client, then in step 609, a determination is made as to whether the request is a reregister client request. In general, a reregister client request is a request to change filtering topics associated with a client. Hence, arguments associated with a reregister client request often include a filter topic list. If it is determined that the request is a reregister client request, then the reregister client request is handled in step 611, which will be described below with respect to Figure 10. After the reregister client request is processed, the server essentially awaits a subsequent request from a client.

When it is determined in step 609 that the request is not a request to reregister a client, it is determined in step 613 whether the request is a request to define an object, *e.g.*, an object that is associated with the client. If the request is determined to be an define object request, the define object request is handled in step 615. One method of processing a define object request will be described below with reference to Figure 7. Once the define object request is handled, the server “listens” for

additional requests which may come either directly or indirectly from the client. In one embodiment, a define object request may be an update object request.

In the described embodiment, if it is determined in step 613 that the request is not a define object request, then a determination is made in step 617 regarding whether the request is a request to create a filter topic. A request to create a filter topic is essentially a request to create a filter topic for the client on the server. If the determination is that the request is a filter topic request, then process flow moves from step 617 to step 619 where the create filter topic request is handled. In general, the steps associated with creating a filter topic may vary. One example of a suitable method for use in handling a create filter topic request will be discussed below with respect to Figure 11. After the create filter topic request is processed, the server awaits additional requests.

Alternatively, if the determination in step 617 is that the request is not a request to create a filter topic, then the overall processing of a request is completed. In one embodiment, when it is determined that the request is not a create filter topic request, an exception may be thrown to indicate that the request is invalid. However, as the request is typically either a register client request, and unregister client request, a reregister client request, an update object request, or a create filter topic request, it should be appreciated that the determination in step 617 will generally not be that the request is not a create filter topic request.

With reference to Figure 7, one method of handling a define object request will be described. In other words, step 615 of Figure 6 will be described in accordance with an embodiment of the present invention. A define object request is a request to define, *e.g.*, update, the state of an object that is associated with the client. It should be appreciated that an existing object may have one or more attributes changed, or a new object with the changed attributes may be defined to replace the existing object, which may then be removed. Hence, in some embodiments, a define object request may effectively be an update object request. The processing of a define object request begins at step 701 in which locks are obtained from the object list and from the data file, *e.g.*, the persistent data file associated with the server. It should be

appreciated that the data file may be associated with a database such as a persistent database. Typically, obtaining the lock from the data file opens a transaction with the data file such that the contents of the data file may be modified. In one embodiment, the locks are write locks which prevent more than one client thread from attempting to write to the object list and the data file at any given time. The locks may generally be any suitable type of lock. By way of example, the locks may be mutex locks or binary semaphores.

After the object list lock and the lock on the data file are acquired, a determination is made in step 702 as to whether an update for the specified object already exists on a queue, *i.e.*, the object list or the object list queue, associated with the server. The specified object is the object that is specified in the object update request. If the determination is that an update for the object is not already in the object list, then the object update is placed at the end of the object list in step 703. From step 703, process flow moves to step 705 where the update for the object is committed to the data file. In other words, the update is saved into the data file. Once the update is committed to the data file, both the object list lock and the lock on the data file are released in step 707 to enable them to be acquired by another thread as needed, and the process of handling a define object request is completed.

Returning to step 702, if it is determined that an update for the specified object is already in existence in the object list, then the indication is that the previous update for the specified object has not been processed. Hence, in the described embodiment, the previous update will effectively be overwritten by the object update specified in the object update request. Accordingly, in step 704, the existing reference to the updated object, *e.g.*, the object update that is already in existence in the object list, is removed from the object list. After the existing reference to the updated object is removed, then process control proceeds to step 703 in which the object update, *i.e.*, the "new" object update, is placed at the end of the object list.

Another request that may be received by a server is a request to register a client. Figure 8 is a process flow diagram which illustrates the steps associated with handling a request to register a client, *i.e.*, step 603 of Figure 6, in accordance with an



embodiment of the present invention. A register client request is a request to register a previously unregistered client with the server, and includes a filter topic list which effectively specifies the “topics,” *e.g.*, objects, of interest to the client. The process begins at step 801 where a unique token, *e.g.*, a unique character string, which identifies the client specified in the request is generated by the server. The token is essentially a global token which may be used to uniquely identify the client within the overall client/server system. In one embodiment, the token is used to update a filter tree, such as filter tree 346 of Figure 3.

In step 803, a write lock associated with the filter tree is obtained by the thread of control, *i.e.*, the current thread, and a transaction is opened with the persistent data file associated with the server. It should be appreciated that the data file generally is in existence. However, in the event that the data file is not in existence, then the data file may be opened. Opening a transaction with the data file, as previously discussed, includes obtaining the write lock associated with the data file.

After the write lock on the filter tree is obtained and the transaction is opened with the data file, the filter tree is updated in step 805 based on parameters defined by the client in the filter topic list passed in the register client, or registration, request. Once the filter tree is updated, a transaction is committed in step 807. In one embodiment, committing the transaction implies that the updated filter tree is saved in the data file and, further, that the write lock associated with the data file is released.

From step 807, process flow moves to step 809 where the write lock on the filter tree is released. Once the write lock on the filter tree is released, a socket or a port *i.e.*, a connection, is opened between the server and the client in step 811 to permit direct communications between the server and the client. In other words, a socket or a port is opened to enable the server and the client to communicate without using an ORB.

A client thread is started on the server in step 813. The client thread is in communication with the client via the socket and, further, references the object list associated with the server. Although any suitable process may generally be used to

start the client thread in, or on, the server, one process will be described below with respect to Figure 12. After the client thread is started, the unique token generated in step 801 is returned to the client in step 815, and the process of handling a register client request is completed. In the described embodiment, the token is returned to the client through the ORB.

As previously mentioned, a request received by a server from a client may include a request to unregister a registered client. Figure 9 is a process flow diagram which illustrates the steps associated with processing a request to unregister a client, *i.e.*, step 607 of Figure 6, in accordance with an embodiment of the present invention. The process begins at step 903 in which the write lock on the filter tree is obtained, and a transaction is opened with the data file associated with the server. The write lock on the filter tree is obtained in order to allow references to the client, *i.e.*, the client that is to be unregistered, to be removed from the filter tree. In step 905, the client references to the client that is to be unregistered are removed from the filter tree.

Once the client references are removed, the transaction opened in step 903 is committed in step 907. That is, the updated filter tree is written to the data file, and the transaction is closed. After the transaction is committed, the client thread associated with the client that is to be unregistered is removed from the client thread list, which is a typically a persistent list, in step 908. In step 909, the client thread is effectively shut down, and the lock on the filter tree is then released in step 910. Finally, in step 913, the socket or port to the client is closed, and the unregistering of the client is completed.

A request received by a server from a client may also be a request to reregister the client. In general, a request to reregister a client may be is a request to change the filtering topics associated with a client. With reference to Figure 10, one method of handling a request to reregister a client with a server will be discussed. That is, step 611 of Figure 6 will be described in accordance with an embodiment of the present invention. The handling a reregister client request begins at step 1001 in which the read lock associated with the filter tree of the server is obtained, in addition the read

lock associated with the object list. In one embodiment, the filter tree and the object list each have a read lock and a write lock, although in other embodiments, the filter tree and the object list may each have a single read-write lock. As will be appreciated by those skilled in the art, while a write lock is arranged to prevent more than one thread from altering an entity, *e.g.*, the object list, a read lock is arranged to prevent more than one thread from viewing the entity, *e.g.*, the object list. The read lock may generally be any suitable lock, as for example a semaphore or a mutex lock.

After the read locks on the filter tree and the object list are obtained, the object list, *i.e.*, the server object list, is passed through the old, or existing, filter in order to obtain the old client object list in step 1002. The old client object list, or the client object list that was associated with the client when the client was previously registered, is obtained by identifying objects on the server object list that pass through the old filter. In one embodiment, the old client object list may at least be temporarily stored in computer memory associated with the server. The read locks are released in step 1003, once the old client object list is obtained.

A write lock on the filter tree is obtained in step 1004, and a transaction is opened with the data file associated with the server. The filter tree is then updated in step 1005 based upon the parameters defined by the client in the filter topic list that is passed to the server in the reregistration request. Once the filter tree is updated, the transaction with the data file is committed in step 1007. As previously discussed, committing the transaction effectively includes updating the contents of the data file and closing the transaction, *i.e.*, releasing the write lock associated with the data file.

From step 1007, process flow moves to step 1009 where the lock or, more specifically, the write lock on the filter tree is released. Then, in step 1011, the read lock on the filter tree is obtained, as is the read lock on the object list. After the read locks are obtained, the server object list is passed through the filter, *i.e.*, the new or updated filter, in order to obtain a new client object list in step 1013. In general, the new client object list contains all objects, which are associated with the server, that the client is "interested" in.

A comparison between the old client object list and the new client object list is made in step 1015. By comparing the old and new client object lists, “stale” and “fresh” lists may be generated. A stale object list will generally include objects which were contained in the old client object list, but are not present in the new client object list. A fresh object list may include objects which are included in the new client object list, but were not included in the old client object list.

After the stale object list and the fresh object list are created in step 1015, messages are sent to the client in step 1017. The messages are arranged to notify the client to delete stale objects, *i.e.*, those objects in the stale object list, and to add fresh objects, *i.e.*, those objects in the fresh object list. In one embodiment, the messages are sent to the client through the appropriate client thread. Once the stale objects are deleted and the fresh objects are added, the process of reregistering a client with a server is completed.

A client that is registered with a servant may generally request that the server create a new filter topic for the client, as mentioned above with respect to Figure 6. Creating a new filter topic allows the client to receive additional information from the server, *e.g.*, information pertaining to an object in which the client previously had no interest. Figure 11 is a process flow diagram which illustrates the steps associated with handling a request to create a filter topic, *i.e.*, step 619 of Figure 6, in accordance with an embodiment of the present invention. The process begins at step 1103 in which a write lock on the filter tree is obtained, and a transaction is opened with a data file, *e.g.*, a persistent data file associated with the server.

After the write lock to the filter tree is obtained, the filter tree is then updated in step 1105 to include a new filter topic specified in the create filter topic request. Once the filter tree is updated, the transaction with the data file is committed in step 1107, and the write lock on the filter tree is released in step 1109. When the write lock on the filter tree is released, the process of handling a create filter topic request is completed.

In general, when a client is registered with a server, a client thread that is associated with the client is started in the server. By starting the client thread, the server is then able to communicate directly with the client, without communication through an ORB. With reference to Figure 12, the steps associated with starting a client thread in a server, *i.e.*, step 813 of Figure 8, will be described in accordance with an embodiment of the present invention. In other words, the functionality of the client thread will be discussed. The process of starting a client thread in the server begins at step 1202 where a read lock associated with the object list, *i.e.*, the server object list, is acquired. By obtaining the read lock, the thread of control, *e.g.*, the client thread, is allowed to view the objects in the object list.

The client thread sets the currently referenced object in the object list in step 1204. That is, the client thread looks at the object which it is pointing to in the object list and sets that object as the currently referenced object. After the currently referenced object is set, the read lock associated with the object list is released in step 1207. It should be appreciated, however, that the read lock may generally be released at any time after the currently referenced object is set. The currently referenced object is then checked against a filter associated with the client thread or, more specifically, the client that is in communication with the client thread, and a determination is made in step 1208 as to whether the currently referenced object passes the filter. In other words, a determination is made regarding whether the object is of interest to the client associated with the client thread. When it is determined that the object passes the filter, *i.e.*, that the object is of interest to the client associated with the client thread, the currently referenced object is sent to the client in step 1211. More specifically, an attempt is made to send the object to the client. In one embodiment, the currently referenced object is serialized and streamed over the socket that connects the server and the associated client, *i.e.*, the serialized object is streamed from the server to the client.

A determination is made in step 1219 regarding whether the server has acknowledged receipt of the currently referenced object, typically within a given period of time. The given period of time, in general, may vary. If the determination in step 1219 is that an acknowledgment was received, then the indication is that any

updates associated with the referenced object have effectively been received by the server. Accordingly, process flow proceeds to step 1217 where the client thread is advanced to the next object in the object list. When the client thread references the last object in the object list, the next object on the object list may be the first object in the list. After the client thread is advanced to the next object, process flow returns to step 1202 in which the read lock associated with the object list is obtained.

Alternatively, if the determination in step 1219 is that an acknowledgment was not received, then the implication is that the streamed object was not received by the client. When no acknowledgment is received, in the described embodiment, process flow returns to step 1208 where a determination is made as to whether the object passes the filter.

Returning to step 1208, if it is determined that the currently referenced object does not pass the filter, the indication is that the currently referenced object is not an object that is of interest to the client associated with the client thread. Hence, when the currently referenced object does not pass the filter, process flow moves to step 1217 in which the client thread is advanced to the next object on the object list.

In the described embodiment, the client is generally started up, *e.g.*, instantiated, in response to a request from a user. By way of example, the user may interact with a part of a situation display in such a manner as to cause the start up of a client to be requested. Figure 13 is a process flow diagram which illustrates the steps associated with starting up a client in accordance with an embodiment of the present invention. The process of starting up a client begins at step 1301 where user input is obtained. In other words, a client, which is currently not actively in communication with a server receives a command from a user to effectively start up. Once the user input is obtained, display windows are created in step 1302, and any graphical user interface files are loaded for observers which are linked with the client. A determination is then made in step 1304 as to whether the client is operating in solo mode, or is otherwise not functioning as part of a client/server computing network.

When it is determined in step 1304 that the client is not in solo mode, then the indication is that the client is in network mode. Accordingly, process flow moves from step 1304 to step 1312 where the client is registered with an ORB to allow the client to communicate with appropriate servers. In general, registering the client with the ORB will cause the ORB to contact a server and, further, may result in the start up of the server, as was described above with respect to Figure 5. Although the parameters sent by the client to the ORB in order to register itself with the ORB may vary widely, in one embodiment, the parameters may include a filter list.

After the client is registered with the ORB, the client receives a client token, or an identifier that uniquely identifies the client, directly from the server in step 1314. Then, in step 1316, a socket connection is established with the server in step 1316, and the feed handler associated with the client is started in step 1317. In general, the feed handler is established to handle messages from handlers and to provide the client with updates in the event that the server is off-line. One method which is suitable for use in the start up of the feed handler will be discussed below with reference to Figure 18.

Once the feed handler is started up to handle messages to the client, process flow proceeds to step 1318 where the client listens for updates. The updates may come from the server, as well as from the feed handler. The steps associated with listening for updates will be described below with reference to Figure 14. In general, the client continues to listen for updates until the client goes off-line, as will be appreciated by those skilled in the art.

Returning to the determination of whether the client is in solo mode in step 1304, when it is determined that the client is in solo mode, then process flow moves from step 1304 to step 1311 where a determination is made regarding whether a persistent data file is in existence. Specifically, in the described embodiment, it is determined if a persistent data file which is in communication with the client exists. The persistent data file is generally arranged to store object information which may be used to reconstruct object states, if necessary.

If it is determined in step 1306 that a persistent data file does exist, then the contents of the persistent data file are used in step 1311 to reconstruct object states for objects associated with the client. Reconstructing object states may include creating a client object list. Once the object states are reconstructed, then the feed handler  
5 associated with the client is started in step 1317.

Alternatively, if the determination in step 1306 is that a persistent data file does not exist, a persistent data file is created in step 1308 using any suitable method. After the persistent data file is created, an empty list of objects is created in step 1310.  
10 Typically, the empty list of objects, *i.e.*, the empty client object list, is created in the client. In one embodiment, the empty list of objects may take the form of a persistent hash table, although the format of the list may vary widely. From step 1310, process flow moves to step 1317 where the feed handler is started.

When a client is started up, *e.g.*, registered with a server, the client is effectively initialized to listen for updates from the server, or from a feed handler associated with the client. Figure 14 is a process flow diagram which illustrates the steps associated with a client listening for updates from a server or a feed handler, *i.e.*, step 1318 of Figure 13, in accordance with an embodiment of the present invention.  
20 Listening for updates, in general, involves awaiting any message from the server which requires the client to be updated. The process begins at step 1400 where a message is received, by the client, from either a server or a feed handler. Once the message is received, if the message is received from a server, an acknowledgment of the receipt of the message is sent to the server in step 1401.

After acknowledgment of the receipt of the message is sent to the server, a determination is made in step 1402 as to whether the message is a message to update an object on the client. In general, a message to update an object on the client may include such information as the name of the object, identifiers pertaining to other  
30 objects which may reference the object that is to be updated, and a class name for the handler class associated with the object. When it is determined that the message is an object update message, then process flow moves to step 1403 in which the object update message is handled. The steps associated with handling the object update



message will be described below with reference to Figure 15. Once the object update message is handled, the steps associated with listening for updates is allowed to continue. In other words, the client continues to listen for updates.

5           Alternatively, if the determination in step 1402 is that the message is not an object update message, then in step 1404, a determination is made regarding whether the message is a message to update a meta-object. As previously mentioned, a meta-object is an object that does not have a physical representation and effectively serves as a factory for the creation of new objects. If it is determined that the message is a  
10       meta-object update message, then in step 1405, the meta-object update message is handled. The steps associated with handling the meta-object update message will be discussed below with respect to Figure 16. After the meta-object update message is processed, then the client is allowed to continue to listen for updates.

15           If the determination in step 1404 is that the message is not a meta-object update message, then it is determined in step 1406 whether the message is a delete object message. In other words, a determination is made as to whether the server is requesting that an object associated with the client be deleted. If the determination is that the message is a delete object message, then the delete object message is handled  
20       in step 1407. One method of handling the delete object message will be described below with reference to Figure 17. Once the delete object message is handled, the client continues to listen for updates.

          When the determination in step 1406 is that the message is not a delete object  
25       message, then in step 1413, a determination is made regarding whether the message is a message, or request, to register an observer with the client. As mentioned above, an observer may be any suitable sub-system which accesses the client and is a part of a situation display system. By way of example, the observer may be a planning matrix or a map. If the message is a register observer message, process flow moves from  
30       step 1413 to step 1415 where the register observer message is handled. As will be appreciated by those skilled in the art, registering an observer with the client may occur using substantially any suitable method. After the register observer message is process, the client continues to listen for update messages.

Alternatively, when it is determined in step 1413 that the message is not a register observer message, a determination is made in step 1417 as to whether the message is a message to unregister a previously registered observer. If it is determined that the message is an unregister observer message, then the unregister observer message is handled in step 1419. In general, unregistering the observer may involve removing all references to the observer from the client. When the unregister observer message has been processed, the client is allowed to continue to listen for update messages.

In the described embodiment, if the determination in step 1417 is that the message is not an unregister observer message, then the indication is that the message is not a valid update message. However, it should be appreciated that typically, the message will essentially either be an update object message, an update meta-object message, a delete object message, a register object message, or an unregister observer message.

With reference to Figure 15, the steps associated with handling an object update message, *i.e.*, step 1403 of Figure 14, will be described in accordance with an embodiment of the present invention. The process begins at step 1502 where a determination is made as to whether the object that is to be updated exists. In other words, a determination is made regarding whether the object specified in the object update message exists on the client. If it is determined that the object exists, then in step 1504, all objects referenced by the object that is to be updated are identified. Once the referenced objects are identified, entries are added in step 1506 to a reference table of unresolved entries, *e.g.*, an unresolved reference table, for non-existent references which were specified in the object update message. In one embodiment, any non-existent references that are already in the unresolved reference table may be purged prior to adding the “new” entries to the unresolved reference table. It should be appreciated that in some cases, there may be not non-existent references, *e.g.*, object references, in which case step 1506 may be bypassed.

An update message is sent in step 1508 from the object that is to be updated to the handler associated with the object. The update message may notify the handler that the object is to be updated, as for example by the handler. After the update message is sent, then substantially all resolved references that were specified in the object update message are added to the object in step 1510. In addition, a message is sent to the handler associated with the object to indicate the existence of the resolved references. Update notifications are then sent to observers which are in communication with the client in 1511. In other words, messages which indicate that the object has been updated are generally sent to each observer which is associated with the client. Typically, an object reference associated with the updated object is included in the notifications. Once the notifications are sent, then the steps associated with handling an object update message are completed. As will be appreciated by those skilled in the art, observers may respond to the notifications. Further, observers are generally arranged to incorporate and process the updated object information.

Returning to step 1502, if the determination is that the object which is to be updated does not exist, then the class name associated with the handler class of the object is obtained in step 1512 from the object update message. In step 1514, a determination is made as to whether the class associated with the class name is in existence on the client machine, *i.e.*, the machine on which the client is resident. If it is determined that the class exists on the client machine, then an instance of the handler class is created in step 1516. That is, a new object is created using the handler class identified by the class name.

Once the instance of the handler class is created, the new object is added to the object list, *i.e.*, the client object list, in step 1519. One method of adding an object to the client object list will be described below with reference to Figure 21. After the object is added to the object list, it is determined in step 1520 whether a reference to the new, or created, object has been requested by another object. In one embodiment, such a determination may be made by traversing the unresolved reference table. If the determination is that a reference to the created object has been requested by another object, then the requesting object is notified of the existence of the created object in step 1522, and process flow returns to step 1504 in which substantially all objects

referenced by the object to be updated, *e.g.*, the created object, are identified. Alternatively, if the determination in step 1520 is that another object has not requested a reference to the object created in step 1516, then in one embodiment, process flow returns directly to step 1504 where the objects which reference the created object, or the object to be updated, are identified.

Returning to step 1514, when it is determined that the class identified by the class name specified in the update object message is not in existence on the client machine, then the class, *e.g.*, the handler class, is obtained through the ORB in step 1518. In other words, the handler class may be obtained from another client or a server that is associated with the ORB. Once the handler class is obtained, an instance of the handler class is created in step 1516.

A message received by a client, as mentioned above with respect to Figure 14, may also include a message to update a meta-object. Figure 16 is a process flow diagram which illustrates the steps associated with handling a meta-object update message, *i.e.*, step 1405 of Figure 14, in accordance with an embodiment of the present invention. The process of handling a meta-object update message begins at step 1601 in which the class name associated with the handler class of the meta-object is obtained from the meta-object update message. In general, it should be appreciated that the meta-object update message includes a class name for the handler class of the meta-object that is to be updated.

After the class name for the handler class is obtained from the meta-object update message, then in step 1602, a determination is made as to whether the class associated with the class name is in existence on the client machine. If it is determined that the class exists on the client machine, then an instance of the handler class is created in step 1604. That is, a meta-object is created using the handler class identified by the class name. Once created, the meta-object is then added in step 1605 to the meta-object list associated with the client, and the process of handling a meta-object update message is completed. The steps associated with adding a meta-object to a meta-object list are similar to the steps associated with adding an object to an

object list, which will be described below with respect to Figure 21. When the meta-object is added to the meta-object list, the process of updating a meta-object is completed.

5           Returning back to step 1602, if it is determined that the handler class specified by the class name does not exist on the client machine, then process flow proceeds to step 1603 where the appropriate handler class is obtained through the ORB using substantially any suitable method. After the appropriate handler class is obtained, then in step 1604, an instance of the handler class is created. In other words, a meta-object is created from the handler class.

10           In general, a new meta-object overwrites an associated old meta-object, or otherwise updates the old meta-object. The use of meta-objects generally permits objects which contain calls to classes which are not local to be properly displayed.

15           That is, by using meta-objects, since meta-objects contain information concerning needed classes, a request may be sent from a client through an ORB to a server in order to provide the local machine, *e.g.*, the client machine, with the missing classes. Hence, new objects may be dynamically incorporated for use and readily sent to appropriate client machines.

20           Figure 17 is a process flow diagram which illustrates the steps associated with handling a delete object method, *i.e.*, step 1407 of Figure 14, in accordance with an embodiment of the present invention. In the described embodiment, the process begins at step 1702 in which a deletion notification is sent to all objects which are

25           referenced by the object that is to be deleted. That is, a deletion notification may be sent to all "children" of the object to be deleted. Step 1702 is an optional step, and, hence, may be eliminated in some embodiments.

30           Once notification is sent to the referenced objects that their parent is effectively deleted, a notification is sent in step 1704 to the handler associated with the object. Such a notification typically apprises the handler of the fact that the object is deleted. As will be understood by those of skill in the art, the handler is generally arranged to reallocate the resources associated with the deleted object. After the

handler is notified of the deletion of the object, the object is removed from the object list in step 1706. Then, in step 1708, substantially all observers which are associated the client are notified that the object is deleted, and the process of handling a delete object request is completed.

5

As mentioned above with respect to Figure 13, when a client is started up, the feed handler associated with the client is also started up. Referring next to Figure 18, the steps associated with the start up of a feed handler will be described. That is, one embodiment of step 1317 of Figure 13 will be described in accordance with the

10 present invention. The feed handler is arranged to interface with a user interface, as for example a graphical user interface, using handlers in order to obtain messages that are intended for an associated client. In addition, the feed handler is arranged to maintain a queue of messages intended for a server, when the server is in communication with the client. The process of starting a feed handler begins at step 15 1802 where the feed handler establishes a connection with the server if the client is in network mode. In other words, if the client is in communication with a server, then the feed handler establishes its own socket connection to the server.

Once the socket connection is established as appropriate, process flow moves 20 to both step 1804, where a server communication thread is started, and step 1806, where the feed handler receives as well as queues messages from handlers. The starting of the server communication thread, which will be described below with reference to Figure 19, and the receiving of messages occur substantially simultaneously, as they are concurrent processes. The server communication thread 25 is generally arranged to process messages queued on the feed handler. While the server communication thread processes the queued messages, messages that are received from handlers are queued on the feed handler. Messages typically continue to be queued, and the server communication thread continues to process the queued messages, until the feed handler is effectively taken off-line, as for example when the 30 client is taken off-line, as will be appreciated by those skilled in the art.

Figure 19 is a process flow diagram which illustrates the steps associated with starting a server communication thread on a client, *i.e.*, step 1804 of Figure 18, in

accordance with an embodiment of the present invention. The process begins at step 1903 in which a determination is made regarding whether there is a message on the queue or, more specifically, on the feed handler queue. If it is determined that there is a message, or more than one message, on the queue, then process flow moves from step 1903 to step 1907 where the first message in the queue is removed. Although the “first message” in the queue may either be the oldest message in the queue or the newest message in the queue, in the described embodiment, the first message is the oldest message in the queue. That is, messages are removed from the queue in a first-in-first-out (FIFO) manner.

After the first queued message is removed from the queue in step 1907, the client is notified of the message in step 1909. It should be appreciated that when the client is notified of a message, the client may generally process the message as appropriate. Once the client is notified of the message, a determination is made in step 1911 as to whether the client is in network mode. If the determination is that the client is in network mode, then the indication is that the client is in communication with a server. Accordingly, process flow moves from step 1911 to step 1913 where the server is notified of the message, *i.e.*, the message removed from the queue in step 1907. The steps associated with notifying a server of a message will be discussed below with respect to Figure 22. When the server is notified of the message, process flow then returns to step 1903 where a determination is made regarding whether there is another message on the queue associated with the feed handler.

Alternatively, if it is determined in step 1911 that the client is not in network mode, then the client is in solo mode, and is not in communication with a server. As such, process flow moves from step 1911 to step 1903 in which it is determined whether there is a message on the queue.

Referring back to step 1903, if it is determined that there is no message in the queue, then the queue is effectively empty. As such, the server communication thread waits for a message to be queued in step 1905. In one embodiment, the server communication thread remains in a wait state until it receives notification, *e.g.*, from the feed handler, that a message has been queued. Once a message has been queued,

then process flow moves to step 1907 where the queued message is removed from the queue.

With reference to Figure 20, the steps associated with creating an instance of a handler class, *i.e.*, step 1516 of Figure 15, will be described in accordance with an embodiment of the present invention. A handler class is typically associated with creating and registering a graphical component for an object. It should be appreciated that although the functions performed by a handler class, or a handler, may be widely varied, the functions often include the ability to create an icon, as for example an icon on a map. Further, in the described embodiment, the graphical component of a handler is arranged to convert updates from the server to the client into information that the client may use. In other words, the handler is arranged to interpret information from a server. Although handlers typically have a graphical component, it should be appreciated that some handlers may not have a graphical component.

In the described embodiment, the process of creating an instance of a handler class begins at step 2002 where a graphical component, or representation, for the handler is created. By way of example, an icon may be created. Once the graphical component is created, then the graphical component is registered with the overall situation display in step 2004. After the graphical component is registered, the creating of an instance of a handler class is effectively completed.

As mentioned above with respect to Figure 15, objects may be added to an object list in a client in response to an object update message. Figure 21 is a process flow diagram which illustrates the steps associated with adding an object to an object list, *i.e.*, step 1519 of Figure 15, in accordance with an embodiment of the present invention. The process begins at step 2101 where a write lock for the object list, *i.e.*, the client object list is obtained. It should be understood that the write lock is obtained by the thread of control which, in one embodiment, is a message handling thread. After the write lock for the object is obtained, the object is written to the object list in step 2103. Writing the object to the object list generally entails appending the object to the end of the object list. Once the object is written to the object list in step 2103, the write lock is released by the thread of control in step 2105.



A determination is made in step 2107 regarding whether the client is operating in solo mode. In other words, it is determined if the client is not part of a network, or is otherwise not in communication with a server. If it is determined that the client is operating in solo mode, then a transaction is opened with a persistent data file that is associated with the client in step 2109. Opening the transaction generally includes obtaining a write lock on the persistent data file. In step 2111, the update message is stored in, or written into, the persistent data file. Then, in step 2113, the transaction with the persistent data file is committed, and the process of adding an object to a client object list is completed.

Referring back to step 2107, if it is determined that the client is not in solo mode, then the indication is that the client is operating in network mode. As such, once the object is written to the object list in step 2103, an associated update message does not need to be stored to a persistent data file for later use. Accordingly, if the determination in step 2107 is that the client is operating in network mode, the process of adding an object to a client object list is completed.

Figure 22 is a process flow diagram which illustrates the steps associated with notifying the server of a message, *i.e.*, step 1913 of Figure 19, in accordance with an embodiment of the present invention. The process begins at step 2201 where a sleep time is initialized. A sleep time is typically a predetermined amount of time which is allowed to elapse between different attempts to send a message, as for example a message to a server. That is, the sleep time is the amount of time the thread of control sleeps between attempts to send a message.

After the sleep time is initialized, an attempt is made to send a message to a server in step 2202. In other words, the client attempts to notify the server of a message. A determination is then made in step 2204 as to whether the attempt to send the message to the server was successful. In one embodiment, the determination regarding whether the attempt to send the message to the server was successful may involve determining whether an acknowledgment receipt was received from the

server. If the determination is that the attempt was successful, then the server is considered to be successfully notified of the message.

Alternatively, when it is determined that the attempt to send a message to the server was not successful, *e.g.*, when no acknowledgment is received from the server within a given amount of time, process flow moves from step 2204 to step 2206. In step 2206, the thread of control is put to sleep for the amount of time specified by the sleep time. Then, the sleep time is incremented in step 2208. Incrementing the sleep time allows the amount of time between attempts at sending a message to a server to be varied. After the sleep time is incremented, another attempt to send a message to the server is made in step 2202. It should be appreciated that in some embodiments, the number of attempts at sending a message to a server may be limited, *i.e.*, only a certain number of attempts may be made before the attempts to notify the server of the message are aborted, as will be discussed below with reference to Figure 25. In other embodiments, attempts may be made until the server is successfully notified.

Figure 23 illustrates a typical, general-purpose computer system suitable for implementing the present invention. A computer system 2330 includes at least one processor 2332, also referred to as a central processing units (CPU), that is coupled to memory devices. The memory devices may generally include primary storage devices 2334, such as a read only memory (ROM), and primary storage devices 2336, such as a random access memory (RAM).

As is well known in the art, ROM 2334 acts to transfer data and instructions uni-directionally to CPU 2332, while RAM 2336 is used typically to transfer data and instructions to and from CPU 2332 in a bi-directional manner. Both primary storage devices 2334, 2336 may include substantially any suitable computer-readable media. A secondary storage medium 2338, which is typically a mass memory device, may also be coupled bi-directionally to CPU 2332. In general, secondary storage medium 2338 is arranged to provide additional data storage capacity, and may be a computer-readable medium that is used to store programs including computer code, computer program code devices, data, and the like. In one embodiment, secondary storage medium 2338 may be a system database which is shared by multiple computer

systems. Typically, secondary storage medium 2338 is a storage medium such as a hard disk or a tape which may be slower than primary storage devices 2334, 2336. Secondary storage medium 538 may take the form of a well-know device including, but not limited to, magnetic and paper tape readers. As will be appreciated by those skilled in the art, the information retained within secondary storage medium 2338, may, in appropriate cases, be incorporated in a standard fashion as part of RAM 2336, *e.g.*, as virtual memory. A specific primary storage device 2334 such as a CD-ROM may also pass data uni-directionally to CPU 2332.

CPU 2332 is also coupled to one or more input/output devices 540 that may include, but are not limited to, video monitors, track balls, mice, keyboards, microphones, touch-sensitive displays, transducer card readers, magnetic or paper tape readers, tablets, styluses, voice or handwriting recognizers, as well as other well-known input devices, such as other computers. Such devices may be used, for example, to allow a user to interface with a client. Finally, CPU 2332 may be coupled to a computer or a telecommunications network, *e.g.*, an internet network or an intranet network, using a network connection as shown generally at 2312. With such a network connection 2312, it is contemplated that the CPU 2332 may receive information from a network. CPU 2332 may also output information to the network. Such information, which is often represented as a sequence of instructions to be executed using CPU 2332, may be received from and outputted to the network, for example, in the form of a computer data signal embodied in a carrier wave. The above-described devices and materials will be familiar to those of skill in the computer hardware and software arts.

A client/server system may generally be arranged to manage data in a variety of different ways. For example, data may be managed such that the use of available communications bandwidth is substantially optimized. In some cases, a client/server system may adjust available communications bandwidth by substantially only sending data which has most recently been created or modified when such data is awaiting transmission. By sending the "latest" data and not older data, *e.g.*, data which has not changed, the use of available bandwidth is optimized to ensure that the

sending of data which is not recent does not generally interfere with the successful transmission of the latest data.

In one embodiment, a server of a client/server system is not arranged to create or to modify data. Instead, clients in the client/server system create and modify any data that may be stored on a server. Figure 24 is a diagrammatic representation of a client/server system which queues data that is created and modified on a client for storage on a server in accordance with an embodiment of the present invention. A client/server system 2400 includes any number of client systems 2410 and server systems 2420. Typically, server systems 2420 are interconnected through reliable, *e.g.*, wired, communications links.

Server systems 2420 typically each include a server 2422 and a storage medium 2424 such as a high-performance database. In general, server systems 2420 may be considered to be included as a part of an overall server 2426. Server systems 2420 are redundant server systems, *i.e.*, server systems 2420 are arranged to contain substantially the same data. For example, if server system 2420a is considered to be a “primary” server system such that client systems 2410 typically access server system 2420a, then server systems 2420b, 2420c are effectively replicas of server system 2420. By maintaining data at multiple sites, *i.e.*, on multiple server systems 2420, in the event of a failure of any single server system 2420, redundant server systems 2420 may be used to reduce the likelihood that overall communications between clients 2410 and servers 2420 are interrupted due to the failure of a single server system 2420.

Clients 2410, which generally include data storage capabilities, may communicate either directly with overall server 2426 or through a “smart” message queue 2430 which is effectively a part of clients 2410 and overall server 2426. Clients 2410 queue data on message queue 2430 when, for example, data has been modified and is to be sent to overall server 2426. Clients 2410 may, in one embodiment, be in substantially direct communication with overall server 2426 when overall server 2426 transmits data to clients 2410, as indicated by arrows 2440. Alternatively, overall server 2426 may send data to clients 2410 using a queue (not

shown). As described above, overall server 2426 may maintain a queue or queues of objects in which clients 2410 have interest. Hence, data may be transmitted to clients 2410 from overall server 2426 via such queues, or lists, which are included as a part of overall server 2426. In other words, overall server 2426 may use substantially the same heuristics as clients 2410 for sending data.

Some clients 2410 may also be in communication with “secondary” clients 2450. That is, clients 2410a, 2410c, 2410d may effectively function as servers for secondary clients 2450 and as clients of overall server 2426. Secondary clients 2450 may be associated with, for example, local area networks (LANs). Some secondary clients 2450 may be in communication with clients 2410 through wired links, *e.g.*, secondary client 2450a is in communication with client 2410 through a wired link, while other secondary clients 2450 may be in communication with clients 2410 through wireless links, *e.g.*, secondary client 2450c is in communication with client 2410c through a wired link. It should be appreciated that some clients, as for example client 2410d, may support secondary clients 2450e, 2450f through both wired and wireless links, respectively.

Although not shown in Figure 24, communications between secondary clients 2450 and clients 2410 may be similar to communications between clients 2410 and server systems 2420b. In other words, a message queue (not shown) that is similar to message queue 2430 may facilitate the transmission of updated data between secondary clients 2450 and clients 2410.

Clients 2410 may be in communication with “smart” message queue 2430, as mentioned above. Specifically, when a client 2410 either creates or modifies data, the data is sent to overall server 2426 through message queue 2430. The communications between clients 2410 and message queue 2430 may, in the described embodiment, be performed using a potentially unreliable communications link, *e.g.*, wireless, while the communications between message queue 2430 and overall server 2426 are typically more reliable, *e.g.*, wired. Message queue 2430 is generally stored in each client 2410, and is arranged to queue data that is to be transmitted to overall server 2426. The data that is to be transmitted may generally take any suitable form

including, but not limited to, the form of a Java-based object. The implementation of message queue 2430 will be described in more detail below with respect to Figures 26a and 26b.

5 Data is placed on message queue 2430 by clients 2410, and is removed from message queue 2430 by server systems 2420 or, more specifically, communications software associated with server systems 2420. Data is substantially only removed from message queue 2430 to be sent when previously removed data has been successfully received by a server system 2420. Once a server system 2420 receives  
10 data from clients 2410 via message queue 2430, the data is stored on an appropriate disk storage device 2424a.

Message queue 2430, as mentioned previously, is part of both clients 2410 and overall server 2426. Specifically, in one embodiment, clients 2410 place data on  
15 message queue 2430, which is then effectively copied over to overall server 2426 and other clients 2410 such that a “copies” of message queue 2430 exist both on clients 2410 and overall server 2426. By maintaining message queue 2430, when clients 2410 and overall server 2426 are out of communication, each of clients 2410 and overall server 2426 may essentially have access to substantially current data through  
20 access to message queue 2430.

As will be understood by those skilled in the art, communications between clients 2410 and server systems 2420 may vary widely. In order to address the variations in communications, client/server system 2400 may be a multi-threaded  
25 system. That is, threads are used to update clients 2410 and server systems 2420. When a given thread is not updating a client 2410 or a server 2420, the thread may be idled to effectively ensure that CPU resources may be allocated for other uses.

When clients 2410 create data, e.g., objects, clients 2410 typically assign  
30 unique identifiers. A unique identifier is arranged to identify an object within client/server system 2400. Data, or an object, typically has an associated timestamp that is updated each time the data or object is updated or otherwise modified, by a client 2410. The use of a timestamp essentially prevents data conflicts from arising

when more than one client 2410 attempts to modify data at any given time. Specifically, timestamps are monotonically increasing such that substantially no data conflicts may arise.

- 5           In general, within a wireless network such as a network that includes RF links, a variety of different failures may result in a communications outage. For example, a failure may be due to a hardware problem on either a client or a server. A failure may also be the result of a software problem, *e.g.*, data may be successfully received but acknowledgement of the receipt may fail. Failures may also occur because of
- 10       problems with links, as mentioned previously. Such failures may include a failure on the link between a client and a primary server and a failure on the actual communications link between all clients and servers. It should be appreciated that in some cases, more than one failure may occur at any given time.

- 15           As discussed above with respect to Figure 24, a client/server system may include multiple redundant servers, which are typically synchronized over a reliable link, that service clients. That is, a system may include a primary server in addition to redundant servers that are arranged to service clients which are typically served by the primary server. Each client, when initialized, obtains information regarding which
- 20       servers it may have access to in the event of a failure of its associated primary server. The use of redundant servers enables a client/server system to function in the event of a failure of one or more servers.

- In order to adaptively handle communications outages, a system may run
- 25       diagnostics to determine why an outage has occurred. Once the cause of a failure or an outage has been determined, the failure may then be corrected. Depending upon current system parameters, adaptively correcting for a failure may include, but is not limited to, trying to establish a connection between a particular client and a server, attempting to reconnect to a redundant server, and attempting to create a new
- 30       connection with a primary server.

          When a network dropout occurs in a client/server computing system, *e.g.*, when either a client or a server that is normally in a network appears to be out of

communication with the rest of the network, standard systems such as those based upon TCP/IP design typically operate under the assumption that the network dropout is due to network congestion. As will be understood by those skilled in the art, while a network dropout in a low-bandwidth, wireless network may indeed occur as a result of network congestion, a network dropout may also occur for a variety of other reasons including, but not limited to, packet loss due to coverage problems.

Packet loss associated with a network typically involves either the failure of transmission of a packet of data, or the loss of some of the data transmitted in a packet. Although packet losses may occur for any number of reasons, packet losses often occur when a client and a server are at least temporarily out of range of each other, or when a communications link is otherwise temporarily interrupted. It should be understood that packet losses may generally be measured in terms of long-term packet loss rates and short-term packet loss rates. That is, the rate at which packets are lost may differ between long-term and short-term measurements.

By counting the number of packets sent as well as the total number of packets received, the packet loss in a network or a system may effectively be determined. As will be discussed below with respect to Figure 25, packet loss may be measured by acknowledging the sending and the receiving of every packet of data. Measuring packet loss enables the manner in which packets are resent or otherwise rebroadcasted to be dynamically changed such that the resending of packets is substantially optimized with respect to the network.

When a network dropout occurs due to network congestion, repeated attempts may be made to reconnect a “dropped out” client or server to the rest of the network. If a network dropout occurs due to packet loss and attempts are made to reconnect a client or a server to a network, the performance of the overall network may degrade to a point where the performance of the overall network is considered to be unacceptable. That is, attempting to initiate a connection which has not been lost may preclude other connections from being made, thereby preventing the transmission of data which would be made across those connections.



While a variety of different methods may be used to actually determine if a network dropout is due to network congestion or packet loss, such a determination may be made using ongoing statistical measurements. Alternatively, the speed at which data is transmitted may be changed. When packet loss is due to network congestion, in one embodiment, the preferred approach may be to reduce the retransmission rate for lost packets. However, when the dropout rate is due to other sources of packet loss, such as RF interference or the physical distance between a transmitter and a receiver, a higher retransmission rate may typically provide greater data throughput.

A client/server system such as the InCON® Command and Control System described above or the Reliable Data Distribution Engine (ReDDE), developed by SRI International of Menlo Park, California is generally arranged to dynamically and, hence, adaptively, adjust communications to substantially optimize communications between a client and a server. In order to enable communications to be effectively optimized to reflect actual network conditions, a system may measure the roundtrip time for packet transmission. That is, the amount of time that elapses while a packet of data is transmitted from a client to a server, or vice versa, may be measured. Although the measurements may be used for substantially any purpose, the measurements are often used to characterize the quality of a connection or a communications link between a client and a server. By way of example, a shorter roundtrip may indicate that a connection is relatively good, while a longer roundtrip may indicate that a connection is relatively poor. The measurements of roundtrip times for a variety of different packets may further be used to statistically determine how long to wait between attempts to resend an unsuccessfully sent packet.

Figure 25 is a process flow diagram which illustrates the steps associated with sending messages between a client and a server in a low bandwidth system in accordance with an embodiment of the present invention. As described above, a client/server system may be arranged to function in the presence of, and in spite of, failures within the system. In one embodiment, messages are tracked such that it may be readily determined whether a message has been successfully sent and received.

A process of sending messages either from a client to a server or from a server to a client begins at step 2502 in which communications between a client and a server are established or otherwise verified. Once communications are established via a link such as an RF link, a message which is to be sent is identified as being sent in step 2506. In one embodiment, a message is acknowledged before the message is sent across a communications link. After the message is identified as being sent, the message is then sent across the communications link in step 2510. As mentioned above with respect to Figure 24, if a message is being sent from a client to a server, the message may be queued in a smart queue, and sent to an appropriate server based on prioritization within the smart queue. Likewise, in some embodiments, if a message is being sent from a server to a client, the message may also be added to a queue and sent to an appropriate client as substantially dictated by priorities assigned within the queue.

When the message that is sent includes data that is to be updated, the data may be sent in a variety of different forms. That is, within an object based system, when an object is modified, either the entire object may be sent in a message, or substantially only the changes to the object may be sent in a message. By way of example, when an object is considered as being relatively small, the whole object may be sent in a packet. Alternatively, when the object is considered as being relatively large, substantially only the changes may be sent in a message, although the entire object may also be sent.

In step 2514, a determination is made as to whether an acknowledgement is made that the message has been received, *e.g.*, by a client from a server or by a server from a client. It should be appreciated that the determination may be made after a predetermined amount has passed. If it is determined in step 2514 that an acknowledgement of message receipt has been received by a sender from a receiver, then the indication is that the message has been successfully transmitted and received. Hence, process flow moves to step 2518 in which the message is identified as being successfully sent and received, and the process of sending data is completed.

Alternatively, if it is determined in step 2514 that acknowledgement of message receipt has not been received, then the indication is that there may have been a failure in the overall system that prevented that message from being received. Such failures may include, but are not limited to, failures such as a failure of an individual client link and a failure of a server. The failures may also be due to packet loss, and not to a physical failure of any component of the overall system. When the message has not been successfully received, then a determination is made in step 2522 as to whether a maximum number of resend tries has been exceeded. The maximum number of attempts to send a message between a client and a server may generally be widely varied, and is typically determined using statistical models based upon the measured behavior of the overall system. It should be understood that the maximum number of resend tries may be updated at substantially any suitable time during the operation of the overall system. By way of example, the maximum number of resend tries may be calculated and, if necessary, revised, whenever the accumulation of statistical information reaches a certain level.

When it is determined in step 2522 that the maximum number of resend tries has not been exceeded, then process flow returns to step 2510 where another attempt is made to send the message. As mentioned above, the amount of time to wait between resend tries may be based upon statistical calculations based upon information that includes the average roundtrip time for a message to be sent. An attempt to resend a message is often successful when the initial failure in sending the packet was due to packet losses.

On the other hand, if it is determined that the maximum number of resend tries has been exceeded, then the attempts to send the message over the potentially existing link is effectively aborted. It should be understood that when the maximum number of resend tries has been exceeded, and acknowledgement of message receipt still has not been received, then it is likely that there has been an interruption of the communications link that was established in step 2502, and that the unsuccessfully sending of data was likely not due to packet losses. Accordingly, process flow moves to step 2526 in which an attempt is made to reestablish a communications link between the client and the server.

A determination is made in step 2530 as to whether communications between the client and the server have been successfully reestablished. If the determination is that communications have been successfully reestablished, then process flow returns to step 2510 in which the message is sent between the client and the server.

Alternatively, when it is determined that communications between the client and the server have not been reestablished, then process flow returns to step 2526 where another attempt is made to reestablish communications between the client and the server.

As will be understood by those skilled in the art, the number of attempts to reestablish communications between a client and a server may be limited in some cases. In other words, in one embodiment, attempts to re-establish communications may effectively be aborted after a predetermined number of attempts has been reached. Alternatively, in other embodiments, when the number of attempts to reestablish communications is limited, the number of attempts that are made may be substantially dynamically determined based on statistical information gathered during the course of communications between clients and servers.

By limiting the number of times attempts are made to send data and, further, by not first attempting to re-establish communications which may not actually have been interrupted, the amount of available communications bandwidth in a system may be substantially optimized. The bandwidth may be allocated to making actual connections which are required, rather than wasting the bandwidth by immediately attempting to re-establish communications when such re-establishment is not necessary.

In one embodiment, when an attempt is made to send data from a client to a server, the data is queued on a smart message queue such that the data is prioritized for transmission to the server. Figure 26a is a diagrammatic representation of the updating of a communications or message queue in accordance with an embodiment of the present invention. As mentioned above, when data are created or modified, a timestamp accompanying the data is either set or modified, respectively. A message

queue 2602 is shown at time t3 as including objects which were previously modified and have not yet been accepted by, *i.e.*, sent to and successfully received by, a server. At time t3, queue 2602 includes an object “obj 1” 2604 that was modified at time t1, an object “obj 6” 2606 that was modified at time t2, and an object “obj 9” 2608 that was modified at time t3. In the described embodiment, queue 2602 is prioritized in a first-in-first-out (FIFO) manner, although it should be appreciated that priority may instead be based on a variety of other factors, as will be discussed in more detail below.

At time t5, queue 2602’ further includes an object “obj 3” 2610 that was modified at time t4. Also at time t5, object “obj 6” 2606 is being modified such that its corresponding timestamp is updated accordingly. Hence, object “obj 6” 2606 which was modified at time t2 is effectively superseded by a version of object “obj 6” that is updated at time t5. That is, the timestamp associated with object “obj 6” effectively changes. At time t6, queue 2602” no longer includes object “obj 6” 2606 and, instead, includes object “obj 6” 2610 that was modified at time t5. Within queue 2602”, object “obj 6” 2610 does not take the priority of object “obj 6” 2606 which has been removed. Instead, object “obj 6” 2610 takes a “chronological” position within queue 2602”. In other words, object “obj 6” 2610 is located in queue after object “obj 3” 2608 and before an object “obj 4” 2612 which was modified at time t6, after the modification at time t5 of object “obj 6” 2610.

In lieu of being prioritized in a FIFO manner, a queue may instead be prioritized based upon the importance of objects in the queue. By way of example, if a first object in a queue is associated with updating a spreadsheet and a subsequent object in the queue is associated with warning of a terminal malfunction of an important system, then the object associated with the warning of the terminal malfunction may be given priority over the first object, even if the first object had an earlier or older timestamp. Figure 26b is a diagrammatic representation of the updating of a queue which is prioritized based on relative importance in accordance with an embodiment of the present invention. A message queue 2622, at time t3, includes objects 2624, 2626, 2628 which have been modified but have not been sent to and received by a server. As shown, object “obj 1” 2624 has priority over object

“obj 6” 2626, although object “obj 6” 2626 was modified more recently than object “obj 1” 2624.

At time t5, object “obj 6” 2626 is modified such that its corresponding  
5 timestamp is updated accordingly. As shown, at time t6, object “obj 6” 2626 which  
was modified at time t2 is effectively superceded by a version of object “obj 6” that  
is updated at time t5. Specifically, at time t6, queue 2622” no longer includes object  
“obj 6” 2626 and, instead, includes object “obj 6” 2630 that was modified at time t5.  
Within queue 2622”, object “obj 6” 2630 takes the position in queue 2622 that was  
10 previously occupied by object “obj 6” 2626. In the described embodiment, since  
object “obj 1” 2624 is considered as having a higher importance than object “obj 6”  
2630, object “obj 1” 2624 remains in queue 2622” ahead of object “obj 6” 2630, and  
will typically be removed from queue 2622” prior to object “obj 6” 2630. Similarly,  
object “obj 6” 2630, though modified after object “obj 9” 2628, is considered to be  
15 of more importance than object “obj 9” 2628, and is therefore located in queue  
2622” ahead of object “obj 9” 2628.

Although only a few embodiments of the present invention have been  
described, it should be understood that the present invention may be embodied in  
20 many other specific forms without departing from the spirit or the scope of the present  
invention. By way of example, while a client has been described as interfacing with  
observers which generally include a map, it should be appreciated that observers  
which are in communication with a client may generally be widely varied. In one  
embodiment, a client may interface with observers which have substantially no  
25 graphical representation, such as a PIM, an electronic mailer, and a calender.

Any suitable computer programming language may generally be used to  
implement the present invention. One particularly suitable computer programming  
language for use in implementing the present invention is the Java programming  
30 language, developed by Sun Microsystems, Inc. of Palo Alto, California. The Java  
programming language is particularly suitable due to the fact that the Java  
programming language is a platform-independent programming language. It should  
be appreciated, however, that other programming languages including, but not limited

to, platform-independent programming languages, may be used as appropriate in lieu of the Java programming language in some embodiments.

The steps associated with the various methods and processes described above may generally vary. That is, steps may be added, removed, re-ordered, and altered without departing from the spirit or the scope of the present invention. In some embodiments, the methods may include steps which involve the throwing of exceptions when appropriate. By way of example, an exception may be thrown during the registration of a client when a socket may not be opened between a server and a client. Alternatively, an exception may be thrown to indicate that a client has been unsuccessfully registered with an ORB during the start up of the client, in an embodiment in which an ORB is used. It should be appreciated that, typically, an exception may be thrown substantially any time an operation, *e.g.*, a process step, is not successfully completed.

In general, the process of starting a client thread in the server may vary widely. For example, a currently referenced object may be checked against a filter associated with the appropriate client thread or, more specifically, the client that is in communication with the client thread. It should be appreciated that the filter is generally included in the filter tree that is a part of the server. After the currently referenced object is checked against the filter, when it is determined that the object passes the filter, *i.e.*, that the object is of interest to the client associated with the client thread, a thread sleep time, which is a predetermined period of time that the client thread sleeps before attempting to perform an action, may be initialized. In general, the thread sleep time may vary widely depending upon the requirements of a particular system. In addition, after the client sends an object to the client, the client thread may await acknowledgment from the client that the object has been received for a given period of time that may range from on the order of microseconds to on the order of seconds, depending upon overall system capabilities and requirements. In such an embodiment, a determination may be made regarding whether an acknowledgment from the client was received from the client within the given period of time. If the determination is in that an acknowledgment was not received in the given period of time, then the implication is that the object was not received by the

client, and the thread sleep time may be incremented. The thread may then be put to sleep for the period of time specified by the thread sleep time, during which time the client may become available to receive transmissions from the server, before another attempt is made to stream the object over the socket to the client.

As described above with respect to Figure 2, replicating a first server on a second server may allow a client, which is out of the communications range of the first server, to communicate with the second server. It should be appreciated that when a client may communication with both servers, the link, *e.g.*, the RF link, typically has a transmitter-receiver at each of the overall server machines and at the client machine. Such a transmitter-receiver would generally be the only wireless link available with respect to each machine. However, in some embodiments, the wireless networking scheme may vary such that the use of substantially one single transmitter-receivers on each machine may be unnecessary. By way of example, other wireless systems may include, but are not limited to, systems used for cellular telephones and satellite phone systems. For such wireless systems, there may be an existing wireless infrastructure. Hence, as long as the client machine may enter into some point of the wireless network, the client machine is likely not to be out of the communications range of any particular server machine. In such a case, the use of a duplicate server may serve the main purpose of providing back-up copies of information stored on a server.

While the present invention has generally been described in terms of servers and clients which are in communication across intermittent RF links, it should be appreciated that the servers and clients may also be in communication over substantially any suitable link. For instance, servers and clients may generally be in communication over any intermittent, low-bandwidth link. Alternatively, servers and clients may also be in communication over high-bandwidth links.

In one embodiment, the present invention may be applied to a client/server computing system in which a client and a server are in communication over a high-bandwidth link without departing from the spirit or the scope of the present invention. When object on a server is to be replicated on a client over a high-bandwidth link,



algorithms which are associated with general database replication may be used to replicate the server object. By way of example, a two-phase commit algorithm may be used. In a two-phase commit algorithm, a server and a client essentially both “commit” to transferring data. Alternatively, an asynchronous log-based replication scheme may be used. In an asynchronous log-based replication scheme, a log may be kept of changes to the server, then may be sent to all clients associated with the server in an asynchronous fashion.

A smart message queue has been described as including data or objects that are prioritized on either a FIFO basis or a basis of relative importance. It should be appreciated, however, that objects may be prioritized based on any other suitable factors or combination of suitable factors. For example, priority may be assigned using an aging strategy such that the amount of time an object has remained in the queue has an effect on priority. In one embodiment, an aging strategy may be tied into a FIFO strategy such that if a particular object is repeatedly modified and has not actually been sent to a server because the object is substantially always at the end of the queue, after a predetermined amount of time for any version of the object to spend on the queue has been exceeded, the object may be given top priority for transmission to a client.

Communications bandwidth may be further optimized using methods in addition to those described above. For instance, geospatial filtering may be applied to geographically filter data or objects based on the location of the objects with respect to clients. By geospatially filtering, or geographically filtering, data based on user-parameters such as user-specified parameters, the data bandwidth associated with the transmission of geospatial data may be further reduced. Therefore, the present examples are to be considered as illustrative and not restrictive, and the invention is not to be limited to the details given herein, but may be modified within the scope of the appended claims.

What is claimed is:

1. A method for transmitting a packet of data from a first computing system to a second computing system, the first computing system and the second computing system being included in a client/server object-based computing system, the method comprising:

identifying the packet of data using the first computing system;

attempting to send the packet of data from the first computing system to the second computing system;

determining when the packet of data is received by the second computing system; and

sending an acknowledgment from the second computing system to the first computing system when it is determined that the packet of data is received by the second computing system, the acknowledgement being arranged to indicate that the packet of data is received by the second computing system.

2. A method as recited in claim 1 further including re-attempting to send the packet of data from the first computing system to the second computing system when it is determined that the packet of data is not received by the second computing system.

3. A method as recited in claim 2 wherein re-attempting to send the packet of data does not include attempting to establish communications between the first computing system and the second computing system.

4. A method as recited in claim 2 further including determining when the re-attempt to send the packet of data is successful, wherein when it is determined that the re-attempt to send the packet of data is not successful, an attempt is made to establish communications between the first computing system and the second computing system.

5. A method as recited in claim 1 further including establishing a connection between the first computing system and the second computing system before identifying the packet of data, the connection being a wireless connection.

6. A method as recited in claim 1 wherein attempting to send the packet of data from the first computing system to the second computing system includes:

placing the packet of data in a queue using the first computing system, the queue being arranged to prioritize the packet of data with respect to any packets of data associated with the queue; and

removing the packet of data from the queue using the second computing system.

7. A method as recited in claim 1 wherein the first computing system is a client and the second computing system is a server.

8. A method as recited in claim 1 wherein the first computing system is a server and the second computing system is a client.

9. A method for transmitting a packet of data from a first computing system to a second computing system, the first computing system and the second computing system being included in a client/server object-based computing system, the method comprising:

a) attempting to send the packet of data from the first computing system to the second computing system;

b) determining when the packet of data is received by the second computing system;

c) identifying the packet of data as being successfully sent when it is determined that the packet of data is received by the second computing system; and

d) assuming that packet losses have occurred when it is determined that the packet of data is not received by the second computing system, wherein assuming that packet losses have occurred includes repeating a) and b) for up to a predetermined number of times.

10. A method as recited in claim 9 wherein assuming that packet losses have occurred includes repeating a) and b) until it is determined that the packet of data is successfully sent.
11. A method as recited in claim 9 wherein a time differential between each attempt at repeating a) and b) is determined using statistical information including at least one measurement of an amount of time elapsed for another packet of data to be sent and received.
12. A method as recited in claim 9 wherein when a) and b) have been repeated a predetermined number of times, at least one attempt is made to establish a connection between the first computing system and the second computing system.
13. A method as recited in claim 12 further including determining when the at least one attempt to establish the connection between the first computing system and the second computing system is successful, wherein when it is determined that the at least one attempt to establish the connection is successful, a) and b) are repeated.
14. A computer program product for transmitting a packet of data from a first computing system to a second computing system, the first computing system and the second computing system being included in a client/server object-based computing system, the computer program product comprising:
- computer code for identifying the packet of data using the first computing system;
  - computer code for attempting to send the packet of data from the first computing system to the second computing system;
  - computer code for determining when the packet of data is received by the second computing system;
  - computer code for sending an acknowledgment from the second computing system to the first computing system when it is determined that the packet of data is received by the second computing system, the acknowledgement being arranged to indicate that the packet of data is received by the second computing system; and
  - a computer readable medium that stores the computer codes.

15. A computer program product as recited in claim 14 further including computer code for re-attempting to send the packet of data from the first computing system to the second computing system when it is determined that the packet of data is not received by the second computing system.

16. A computer program product as recited in claim 15 wherein computer code for re-attempting to send the packet of data does not include computer code for attempting to establish communications between the first computing system and the second computing system.

17. A computer program product as recited in claim 15 further including computer code for determining when the re-attempt to send the packet of data is successful, wherein when it is determined that the re-attempt to send the packet of data is not successful, an attempt is made to establish communications between the first computing system and the second computing system.

18. A computer program product for transmitting a packet of data from a first computing system to a second computing system, the first computing system and the second computing system being included in a client/server object-based computing system, the computer program product comprising:

computer code for attempting to send the packet of data from the first computing system to the second computing system;

computer code for determining when the packet of data is received by the second computing system;

computer code for identifying the packet of data as being successfully sent when it is determined that the packet of data is received by the second computing system;

computer code for assuming that packet losses have occurred when it is determined that the packet of data is not received by the second computing system, wherein assuming that packet losses have occurred includes computer code for re-attempting to send the packet of data from the first computing system to the second

computing system and computer code for determining when the re-attempt to send the packet of data is successful for up to a predetermined number of times; and  
a computer readable medium that stores the computer codes.

5 19. A computer program product as recited in claim 18 wherein computer code for assuming that packet losses have occurred includes computer code for re-attempting to send the packet of data from the first computing system to the second computing system and computer code for determining when the re-attempt to send the packet of data is successful.

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20. A computer program product as recited in claim 18 further including computer code for initiating at least one attempt establish a connection between the first computing system and the second computing system when it is determined that the re-attempt to send the packet of data is unsuccessful.

15

21. A client/server object-based computing system, the client/server object-based computing system comprising:

at least one server;

at least one client, the at least one client being at least periodically in

20

communication with the server across a low-bandwidth communications channel;

a mechanism arranged to reduce statistical information associated with the client/server object-based computing system, the mechanism including a measuring system for measuring time elapsed for a packet of data to be sent between the at least one server and the at least one client;

25

a data transmission system, the data transmission system being arranged to transmit data between the at least one client and the at least one server, the data transmission system further being arranged to repeatedly attempt to transmit the data for up to a number of times determined by the mechanism; and

a reconnection system, the reconnection system being arranged to attempt to

30

reinstate the low-bandwidth communications channel after the transmission system repeatedly attempts to transmit the data for up to the number of times determined by the mechanism.

22. A client/server object-based computing system according to claim 21 wherein the low-bandwidth communications channel is an RF link.

23. A client/server object-based computing system according to claim 22 wherein the data transmission system is further arranged to optimize the time elapsed between repeated attempts to transmit the data using the statistical information reduced by the mechanism.

24. A client/server object-based computing system according to claim 23 wherein the data transmission system and the mechanism are arranged to cooperate to substantially optimize communications bandwidth associated with the client/server object-based computing system.

25. A method for substantially optimizing the transmission of data between a first computing system to a second computing system, the first computing system and the second computing system being included in a client/server object-based computing system, the data including a first packet, the method comprising:

a) gathering statistical information associated with the client/server object-based computing system, wherein gathering the statistical information includes measuring time used to send at least a second packet of data between the first computing system and the second computing system;

b) attempting to send the first packet from the first computing system to the second computing system;

c) determining when the first packet is received by the second computing system;

d) determining an amount of time to elapse before attempting to re-send the first packet when it is determined that the first packet is not received by the second computing system, the amount of time being determined using the measured time used to send the at least second packet; and

e) attempting to re-send the first packet after the amount of time elapses.

26. A method as recited in claim 25 further including:

determining a number of times attempts are made to re-send the first packet, wherein the number of times is determined using the statistical information; and repeating c) and e) for up to the number of times.

- 5 27. A method as recited in claim 26 further including repeating d) for up to the number of times.

28. A method as recited in claim 26 wherein c) and e) are repeated until it is determined that the first packet is received by the second computing system

10

29. A method as recited in claim 26 further including attempting to establish a communications channel between the first computing system and the second computing system after repeating c) and e) for the number of times.

- 15 30. A method as recited in claim 29 wherein the communications channel is a wireless communications channel.

31. A method as recited in claim 30 wherein the wireless communications channel is an RF link.

20

32. A method as recited in claim 25 wherein gathering the statistical information further includes measuring long-term and short-term packet loss rates.

33. A method as recited in claim 32 wherein measuring long-term and short-term packet loss rates includes assuming that packet loss is due to one selected from the group consisting of congestion in the client/server object-based computing system, interference in the client/server object-based computing system, and obstruction in the client/server object-based computing system.



## ABSTRACT

- Methods and apparatus for efficiently maintaining updated information on client/server object-oriented computing systems are disclosed. In accordance with one aspect of the present invention, a method for transmitting a packet of data from a
- 5 first computing system to a second computing system in a client/server object-based computing system includes identifying the packet of data using the first computing system and attempting to send the packet of data from the first computing system to the second computing system. Once the attempt is made to send the packet of data, it is determined whether the packet of data is received by the second computing system.
- 10 An acknowledgment is sent from the second computing system to the first computing system when it is determined that the packet of data is received by the second computing system. The acknowledgement indicates that the packet of data is received by the second computing system. In one embodiment, the method includes re-attempting to send the packet of data from the first computing system to the second
- 15 computing system when the packet of data is not successfully received by the second computing system.

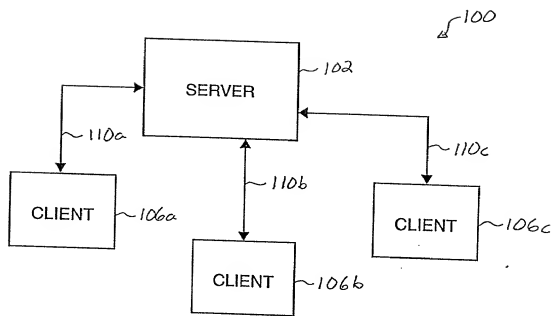


Figure 1

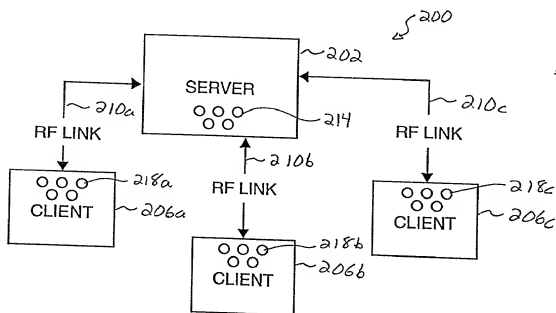


Figure 2a

262

HIGH BANDWIDTH NETWORK

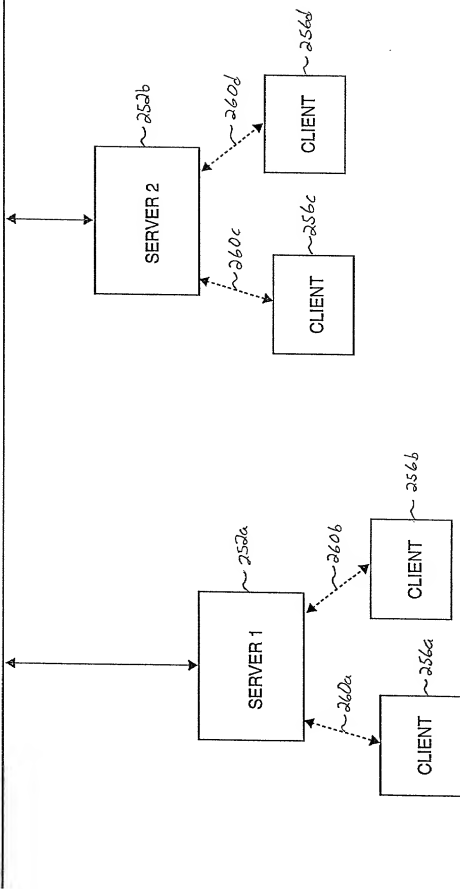


Figure 2b

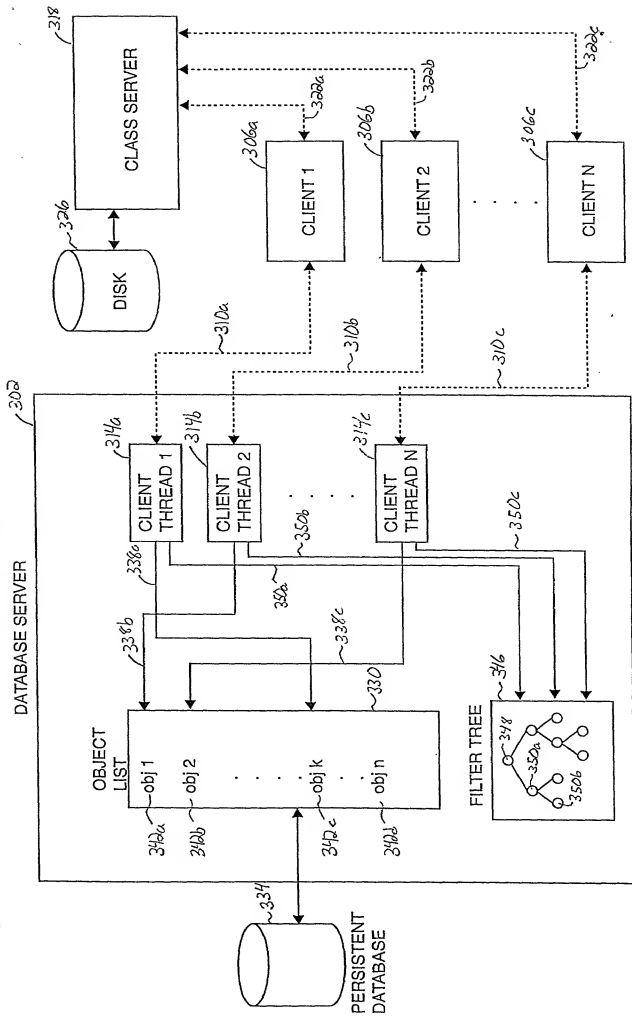


Figure 3



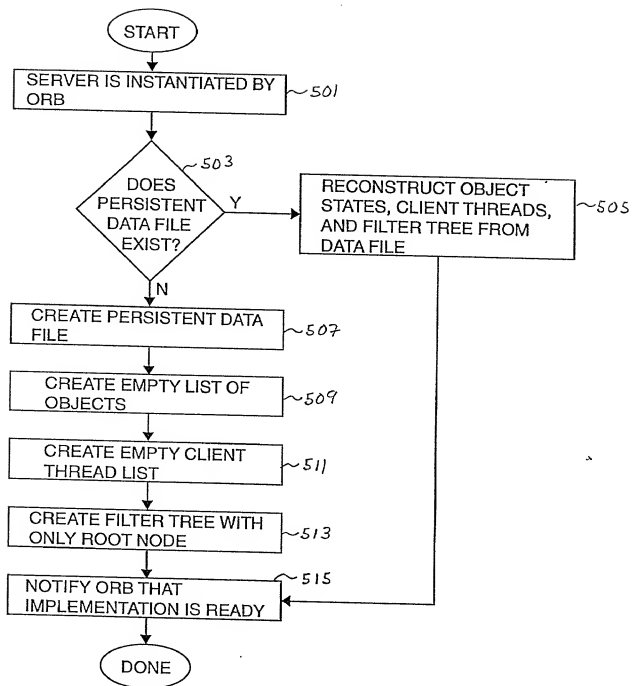


Figure 5

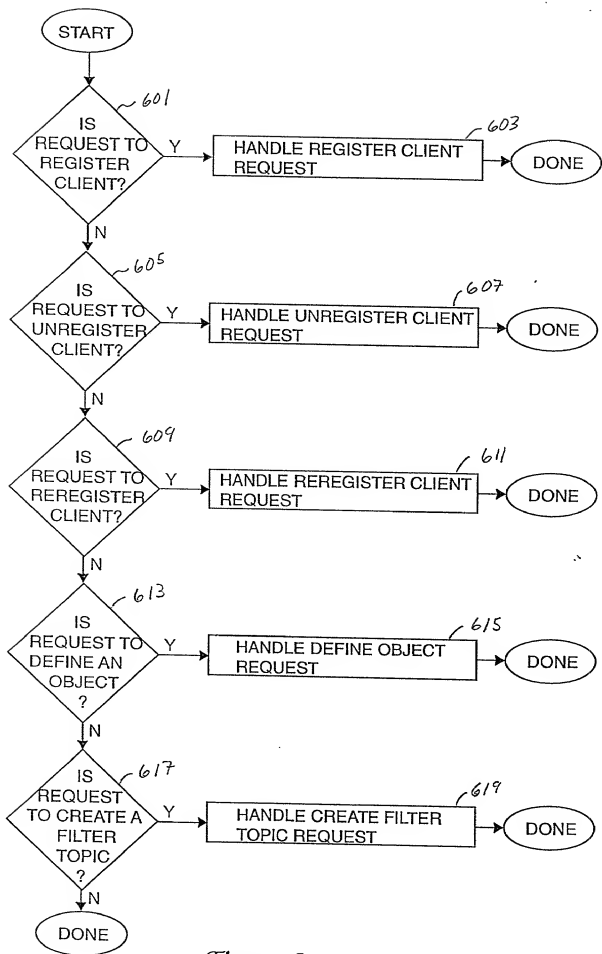


Figure 6

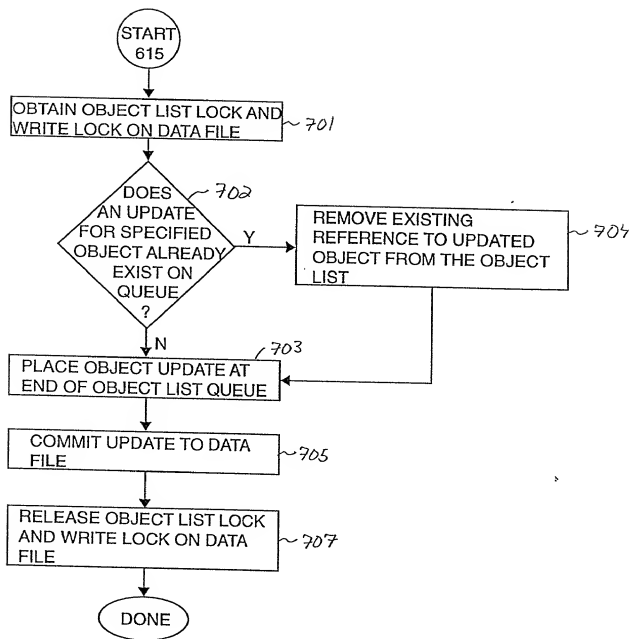
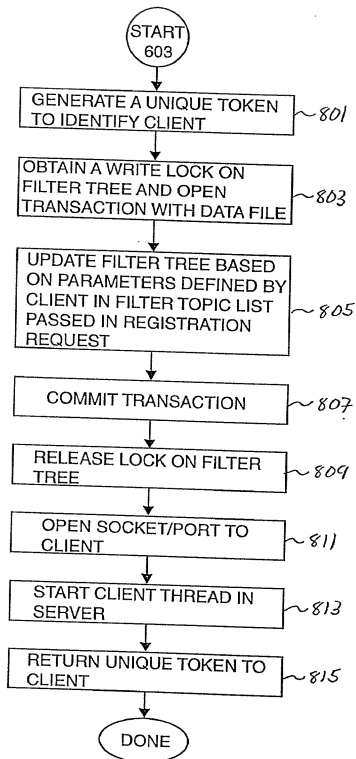


Figure 7





*Figure 8*

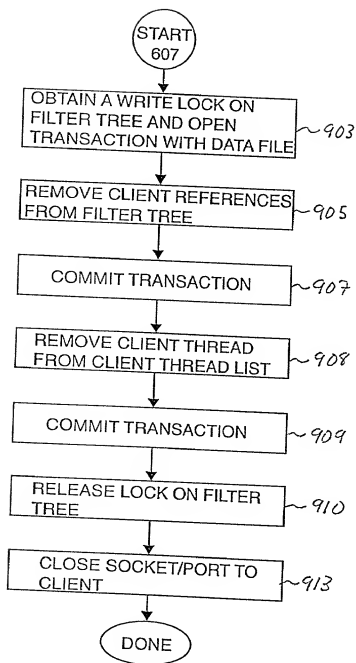


Figure 9

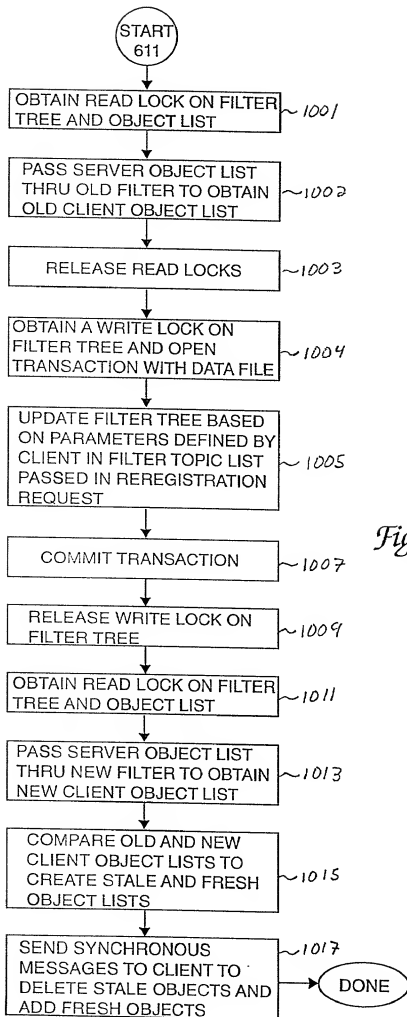
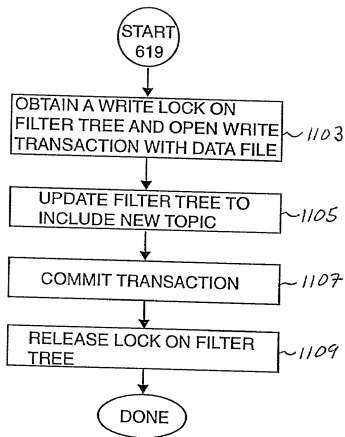


Figure 10



*Figure 11*

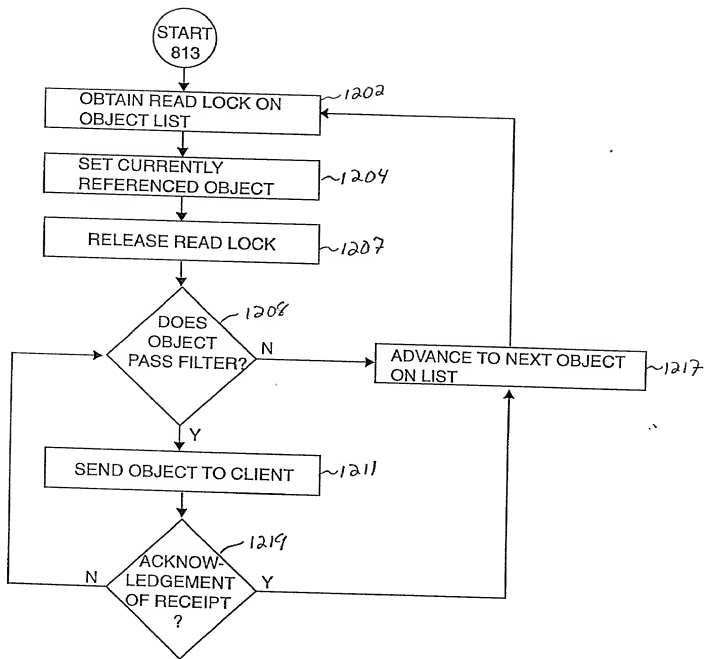


Figure 12

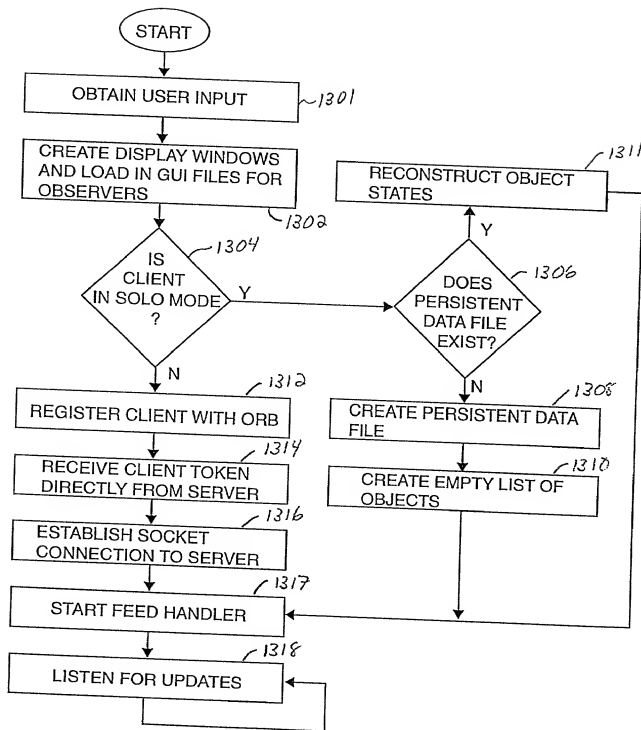


Figure 13

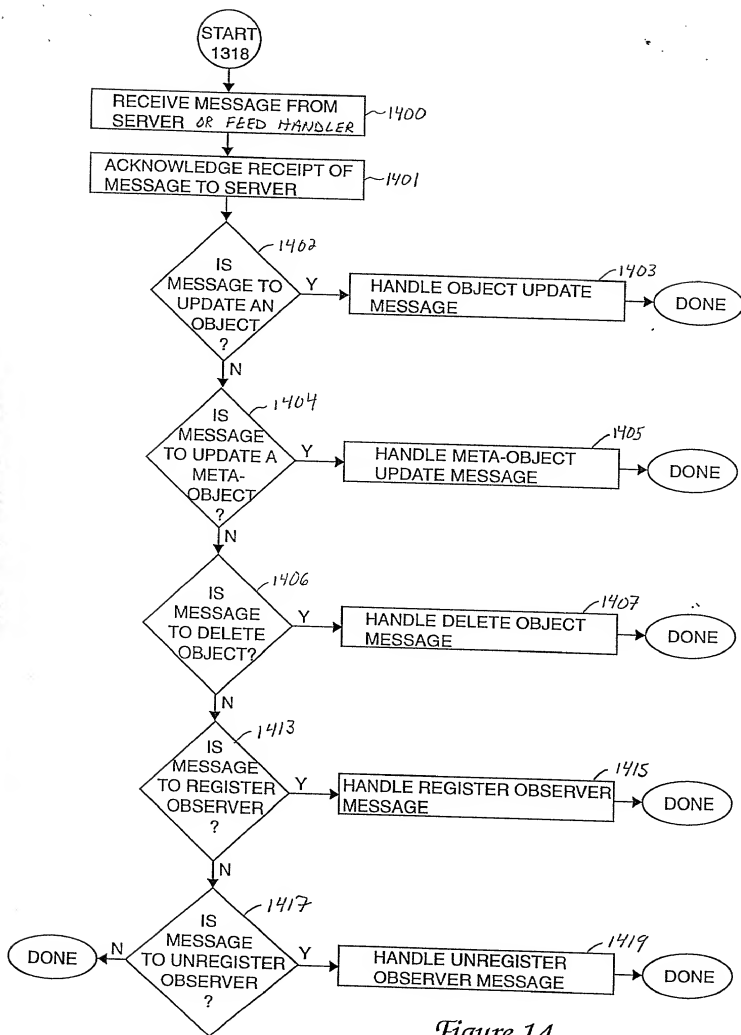


Figure 14

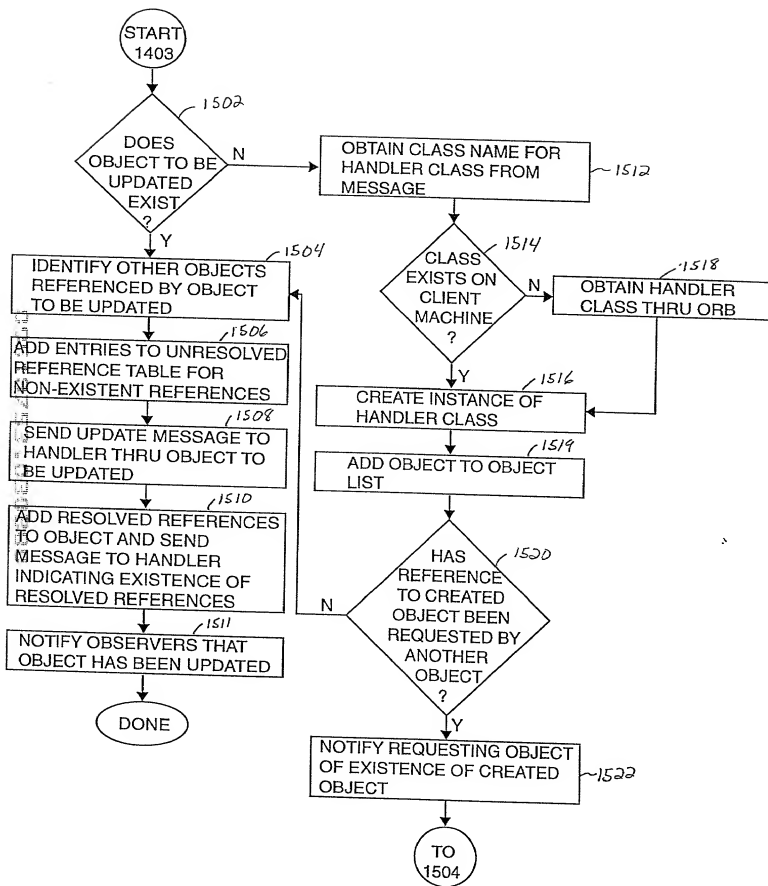


Figure 15



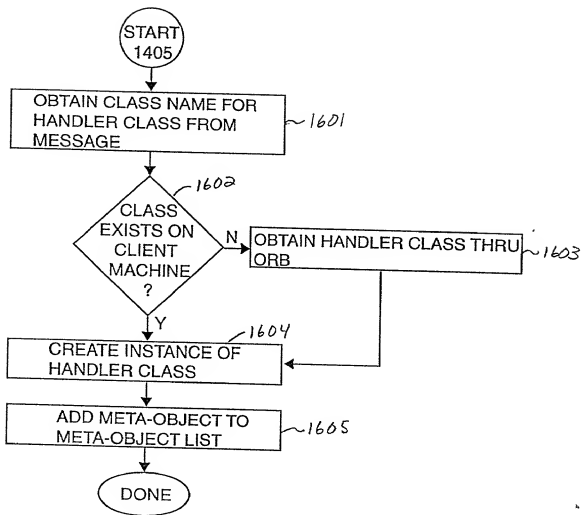


Figure 16

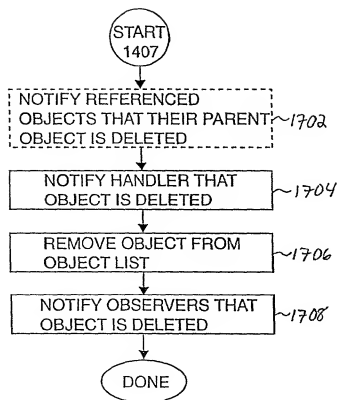


Figure 17

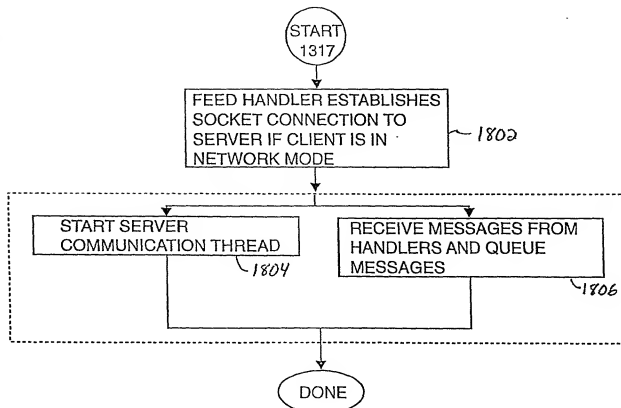


Figure 18

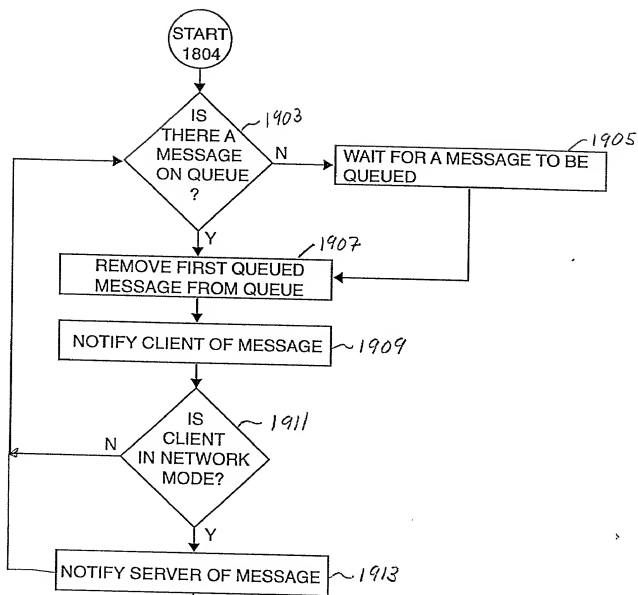
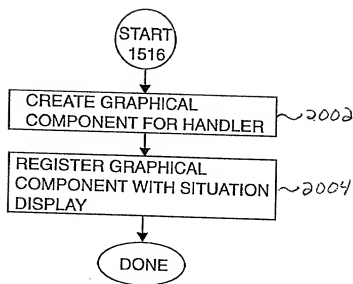


Figure 19



*Figure 20*

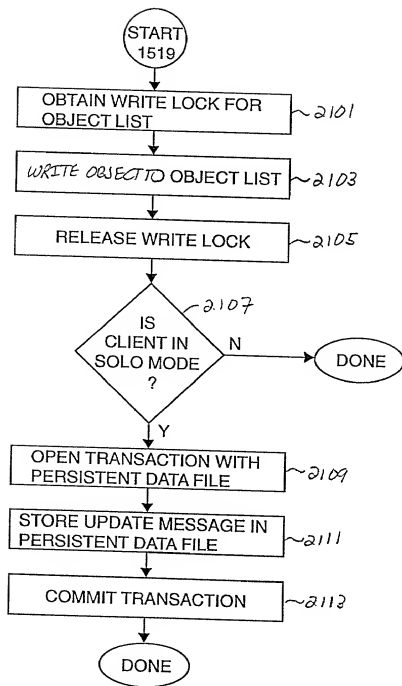
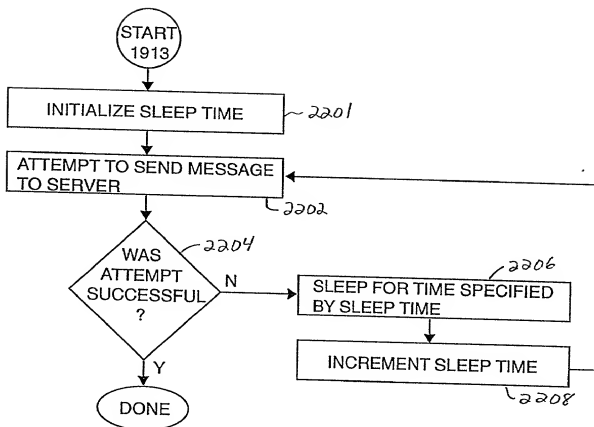
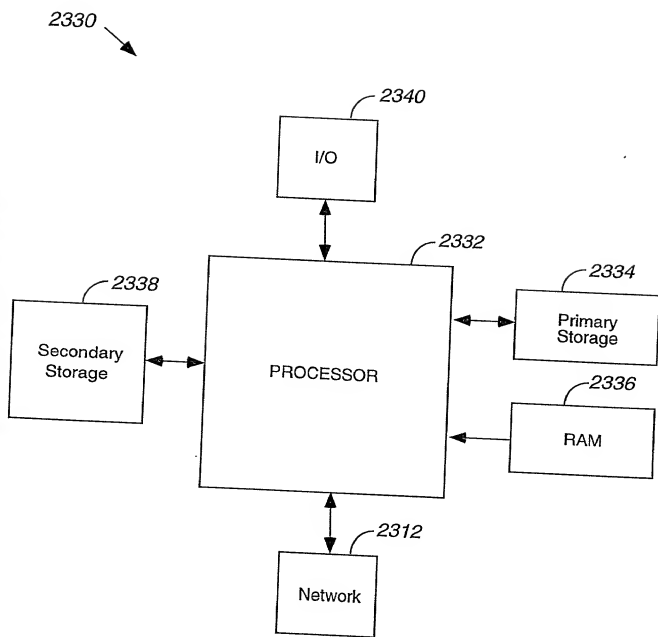


Figure 21



*Figure 22*



*Figure 23*

2420

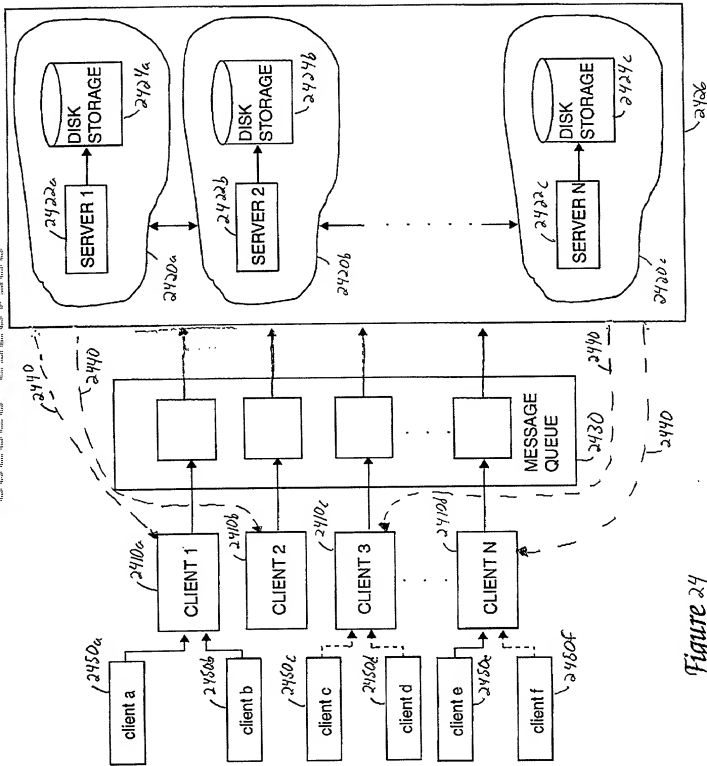


Figure 24



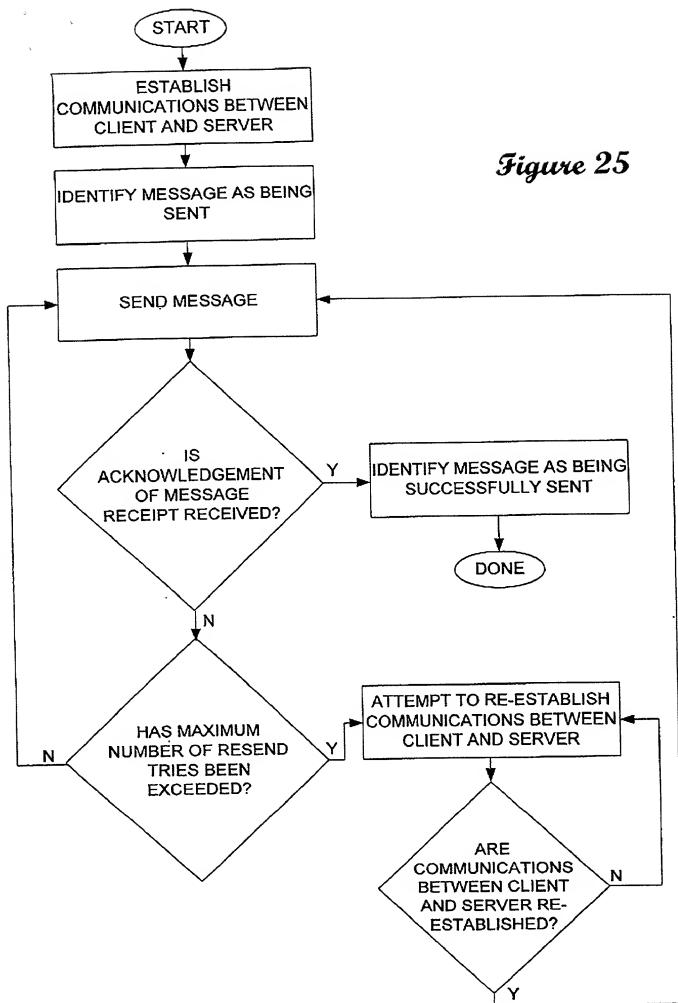


Figure 25

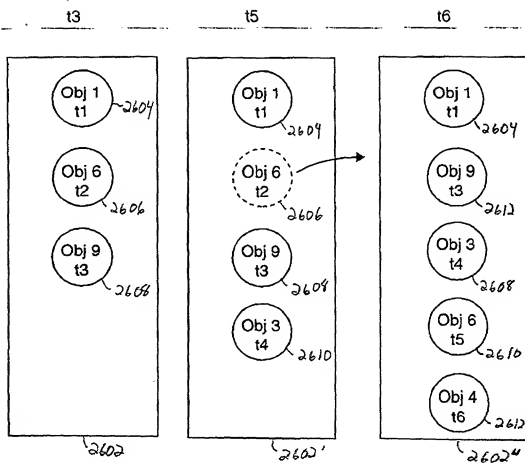


Figure 26a

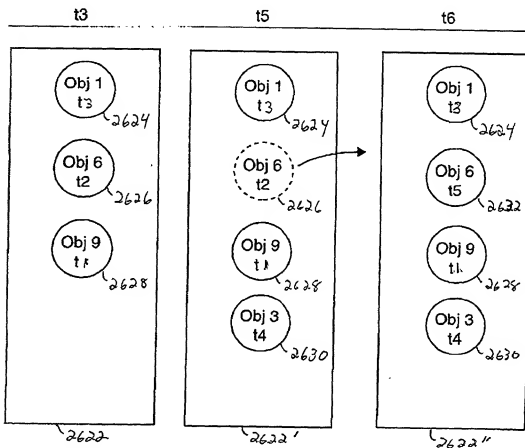


Figure 26b